MCFOR: A MATLAB TO FORTRAN 95 COMPILER

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Abstract

The high-level array programming language MATLAB is widely used for prototyping algorithms and applications of scientific computations. However, its dynamically-typed nature, which means that MATLAB programs are usually executed via an interpreter, leads to poor performance. An alternative approach would be converting MATLAB programs to equivalent Fortran 95 programs. The resulting programs could be compiled using existing high-performance Fortran compilers and thus could provide better performance. This thesis presents techniques that are developed for our MATLAB-to-Fortran compiler, McFor, for extracting information from the high-level semantics of MATLAB programs to produce efficient and reusable Fortran code.

The McFor compiler includes new type inference techniques for inferring intrinsic type and shape of variables and uses a value-propagation analysis to precisely estimate the sizes of arrays and to eliminate unnecessary array bounds checks and dynamic reallocations. In addition to the techniques for reducing execution overhead, McFor also aims to produce programmer-friendly Fortran code. By utilizing Fortran 95 features, the compiler generates Fortran code that keeps the original program structure and preserves the same function declarations.

We implemented the McFor system and experimented with a set of benchmarks with different kinds of computations. The results show that the compiled Fortran programs perform better than corresponding MATLAB executions, with speedups ranging from 1.16 to 102, depending on the characteristics of the program.

Résumé

Le langage de programmation de tableaux de haut niveau MATLAB est largement utilisé afin de faire du prototypage d'algorithmes et des applications de calculs scientifiques. Cependant, sa nature de type dynamique, ce qui veut dire que les programmes MATLAB sont habituellement exécutés par un interpréteur, amène une mauvaise performance. Une approche alternative serait de convertir les programmes MATLAB aux programmes Fortran 95 équivalents. Les programmes résultants pourraient être compilés en utilisant les compilateurs de haute performance Fortran, ainsi ils peuvent fournir une meilleure performance. Cette thèse présente les techniques qui sont développées pour notre compilateur MATLAB-à-Fortran, McFor, pour extraire l'information des hauts niveaux des sémantiques des programmes MATLAB afin de produire un code Fortran efficace et réutilisable.

Le compilateur McFor inclut de nouvelles techniques de déduction pour inférer les types et formes intrinsèques des variables et utilise une analyse à propagation de valeurs pour estimer avec précision la tailles des tableaux de variables et pour éliminer les vérifications des limites et les réallocations dynamiques superflues de ces tableaux. En plus de ces techniques de réduction des temps d'exécution, McFor vise aussi a génèrer du code Fortran convivial pour les développeurs. En utilisant les avantages de Fortran 95, le compilateur génère du code Fortran qui préserve la structure originale du programme ainsi que les mêmes déclarations de fonctions.

Nous avons mis en oeuvre le système McFor et l'avons expérimenté avec un ensemble de tests de performance avec différentes sortes de calculs. Les résultats montrent que les programmes de Fortran compilés offrent une meilleure performance que les exécutions MATLAB correspondantes, avec une cadence accélérée de l'ordre

de 1.16 à 102, selon les caractéristiques du programme.

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Table of Contents

List of Figures

List of Tables

List of Listings

Chapter 1
Introduction

1.1 Introduction

MATLAB®[1] is a popular scientific computing system and array programming language. The MATLAB language offers high-level matrix operators and an extensive set of built-in functions that enable developers to perform complex mathematical computations from relatively simple instructions. Because of the interpreted nature of the language and the easy-to-use interactive development environment, MATLAB is widely used by scientists of many domains for prototyping algorithms and applications.

The MATLAB language is weakly typed; it does not have explicit type declarations. A variable's type is implicit from the semantics of its operations and the type is allowed to dynamically change at runtime. These features raise the language's level of abstraction and improve ease of use, but add heavy overheads, such as runtime type and shape checking, array bounds checking and dynamic resizing, to its interpretive execution. Therefore, MATLAB programs often run much slower than their counterparts that are written in conventional program languages, such as Fortran.

Fortran programs have other advantages over MATLAB programs. They can be well integrated with most of the linear algebra libraries, such as BLAS and LAPACK

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[1]MATLAB is a registered trademark of the MathWorks, Inc.

[ABB+99], which are written in Fortran and used by MATLAB for performing matrix computations. There are many Fortran parallel compilers that can further optimize Fortran programs to improve their performance in parallel environments.

Our MATLAB-to-Fortran 95 compiler, McFor, is designed to improve the performance of programs and produce readable code for further improvement.

In our McFor compiler, we applied and extended type inference techniques that were developed in the FALCON [RP99, RP96] and MaJIC [AP02] projects. We used intrinsic type inference based on the type hierarchy to infer the intrinsic type of all variables [JB01]. We developed a value propagation analysis associated with our shape inference to estimate the sizes of the array variables and precisely discover the statements that need array bounds checks and dynamic reallocations.

We also created several special transformations to support features specific to MATLAB, such as array concatenations, linear indexing and using arrays as indices. We utilized the Fortran 95 enhanced features of allocatable arrays to construct the Fortran code, which preserves the same program structure and function declarations as in the original MATLAB program.

1.2 Thesis Contributions

In creating the McFor compiler, we have explored the language differences and performance differences between MATLAB (version 7.6) and Fortran 95. We have designed our new approach around two key features: precisely inferring the array shape; and generating readable and reusable code.

We have built upon ideas from previous projects, such as FALCON and MaJIC. These previous systems are described in Chapter 2.

1.2.1 Shape Inference

In the executions of compiled Fortran programs, array bounds checking and dynamic reallocations cause most runtime overheads. In order to generate efficient Fortran code, our shape inference mechanism aims to infer the array shape as precisely as

possible and eliminate unnecessary array bounds checks.

Our shape inference considers all the cases, where the array shape can be dynamically changed (described in Section 4.1.3). We first use a special transformation to handle the cases where the array shape is changed by array concatenation operations (described in Section 4.4.2). Then we used a simple value propagation analysis (described in Section 4.6) to estimate the maximum size of the array, and precisely discover the array accesses that require array bounds checking and array resizing. As a result, among all twelve benchmarks, only four benchmarks require array bounds checking, and only one of them requires dynamic reallocations.

1.2.2   Generate Readable and Reusable Code

We focus on two things to make the code to be more readable and reusable: preserving program structure and keeping the original statements, variable names, and comments.

In order to preserve the program structure, we translated each user-defined function into a subroutine, and each subroutine has the same list of parameters as the original function.

We keep the comments in the programs during the lexing and parsing, and restore them in the generated Fortran code. We preserve the code structure by translating MATLAB statements to their closest Fortran statements. We create our own intermediate representation instead of using SSA form to avoid the variable renaming process when converting program to SSA form. We also developed the aggregation transformation (described in Section 5.5) to remove temporary variables created by the type inference process and to restore the original statements.

1.2.3   Design and Implementation of the McFor Compiler

The McFor compiler is a part of McLab project[2], developed by the Sable research group[3] at McGill University. The design and implementation of the compiler is an

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[2]http://www.sable.mcgill.ca/mclab
[3]http://www.sable.mcgill.ca

important contribution of this thesis. The McFor compiler uses McLab's front end, which includes the MATLAB-to-Natlab translator, the Natlab Lexer which is specified by using MetaLexer [Cas09], and the Natlab Parser which is generated by using JastAdd [EH07].

McFor uses an intermediate representation that consists of the AST and type conflict functions (described in Section 4.3), which are acquired from a structure-based flow analysis. The McFor compiler inlines the script M-files, and performs interprocedural type inference on the program. The type inference process is an iterative process that infers the types and shapes of all variables until reaching a fixed point. The McFor compiler also uses a value propagation analysis to precisely estimate the sizes of arrays and eliminate unnecessary array bounds checks and dynamic reallocations.

McFor transforms the code that implements MATLAB special features into their equivalent forms, and generates Fortran 95 code using the type information stored in the symbol table.

1.3 Organization of Thesis

This thesis describes the main techniques developed for the compilation of MATLAB programs. The rest of the thesis is organized as follows. Chapter 2 presents background information on the MATLAB language and discusses some related works in the area of compilation of MATLAB. Chapter 3 presents the structure of the compiler and the overall strategy of each phase of the compiler. Chapter 4 discusses the type inference mechanism, including the intermediate representation, value propagation analysis, and runtime array shape checking and reallocation. Chapter 5 describes transformations used for supporting special MATLAB features, such as array constructions, linear indexing and using arrays as indices. Chapter 6 reports experimental results on the performance of our compiler on a set of benchmarks. Chapter 7 summarizes the thesis and suggests possible directions for future research. Finally, Appendix A provides a list of MATLAB features supported by this compiler.

Chapter 2
Related Work

There have been many attempts for improving the performance of MATLAB programs. The approaches include source-level transformations [MP99, BLA07], translating MATLAB to C++ [Ltd99], C [MHK$^+$00, HNK$^+$00, Joi03a, Inc08], and Fortran [RP99, RP96], Just-In-Time compilation [AP02], and parallel MATLAB compilers [QMSZ98, RHB96, MT97]. In this chapter, we discuss some of those approaches.

At the first, we begin with a brief overview of the MATLAB language, and discuss its language features, type system, and program structure with code examples.

2.1 The MATLAB Language

The MATLAB language is a high level matrix-based programming language. It was originally created in 1970s for easily accessing matrix computation libraries written in Fortran. After years of evolving, MATLAT has achieved immense popularity and acceptance throughout the engineering and scientific community.

2.1.1 Language Syntax and Data Structure

The MATLAB language has the similar data types, operators, flow control statements as conventional programming languages Fotran and C. In MATLAB, the most

commonly used data types are logical, char, single, double; but MATLAB also supports a range of signed and unsigned intergers, from int8/uint8 to int64/uint64. In addition to basic operators, MATLAB has a set of arithmetic operators dedicated to matrix computations, such as the element-wise matrix multiplication operator ".*", the matrix transpose operator "'", and the matrix left division operator "\".

MATLAB has a matrix view of data. The most basic data structure in MATLAB is the matrix, a two-dimensional array. Even a scalar variable is a 1-by-1 matrix. Column vectors and row vectors are viewed as n-by-1 and 1-by-n matrices respectively; and strings are matrices of characters. Arrays that have more than two dimensions are also supported in MATLAB as well.

MATLAB supports Fortran's array syntax and semantics, and also provides more convenient operations, including array concatenation, linear indexing, and using arrays as indices.

Besides arrays, MATLAB provides other two container data structures, structures and cell arrays, which are used for storing elements with different types. Elements in structures and cell arrays can be accessed by field names and indices respectively.

2.1.2   MATLAB's Type System

MATLAB is a dynamically-typed language; it lacks explicit type declarations. A variable's data type, including its intrinsic type and array shape, is according to the value assigned to it, and is allowed to dynamically change at execution time.

In MATLAB, operators can take operands with any data type. Each operator has a set of implicit data type conversion rules for handling different types of operands that participate the operations and determine the result's type. Thus, in an assignment statement, the left-hand side variable's data type is implicit from the semantics of the right-hand side expression.

2.1.3   The Structure of MATLAB programs

A MATLAB program can consist of two kinds of files, function M-files and script M-files. A function M-file is a user-defined function that contains a sequence of

MATLAB statements and starts with a function declaration. Listing 2.1 shows an example of a function M-file "`adapt.m`", which is taken from benchmark `adpt`. It defines the function `adapt` that takes four arguments and returns three values.

```
1   function [SRmat, quad, err] = adapt(a, b, sz_guess, tol)
2   %------------------------------------------------------------------------
3   % This function M-file finds the adaptive quadrature using
4   % Simpson's rule.
5   %------------------------------------------------------------------------
6   SRmat = zeros(sz_guess, 6);
7   SRvec = [a b S S tol tol];
8   SRmat(1, 1:6) = SRvec;
9   state = iterating;
10  m = 1;
11  while (state == iterating),
12    m = 1;
13    for l = n:-1:1,
14      p = l;
15      SR0vec = SRmat(p, :);
16      err = SR0vec(5);
17      tol = SR0vec(6);
18
19      if (err < tol),
20        SRmat(p, :) = SR0vec;
21        SRmat(p, 4) = SR1vec(3)+SR2vec(3);
22        SRmat(p, 5) = err;
23      else
24        SRmat(p+1:m+1, :) = SRmat(p:m, :);
25        m = m+1;
26        SRmat(p, :) = SR1vec;
27        SRmat(p+1, :) = SR2vec;
28        state = iterating;
29      end;
30    end;
31  end;
```

Listing 2.1 Example of a MATLAB function

```
1  a = -1;
2  b = 6;
3  sz_guess = 1;
4  tol = 4e-13;
5  for i = 1:10
6    [SRmat, quad, err] = adapt(a, b, sz_guess, tol);
7  end
```

Listing 2.2 Example of a MATLAB script

The MATLAB language is not only a programming language, but a command script language used in the MATLAB computing environment. The files containing a sequence of MATLAB command scripts are called script M-files; they are also used as modules of MATLAB programs and can be invoked by their filenames. Listing 2.2 shows an example of the script M-file "drv_adpt.m". It initializes four variables and calls the function adapt defined in "adapt.m" (shown in Listing 2.1), and uses three variables, SRmat, quad, and err to receive the function's return values.

2.2 FALCON Project

FALCON [RP99, RP96] is a MATLAB-to-Fortran 90 translator. FALCON applies type inference algorithms developed for the array programming language APL [WS81, Bud83, Chi86] and set language SETL [Sch75], and extended the SSA-based symbolic analysis for analyzing array accesses in Fortran [TP95]. In our McFor compiler, we use similar principles for type inference but implement them on a different intermediate representation. In the following, we discuss the major differences between these two compilers.

Intermediate Representation FALCON uses a static single-assignment (SSA) representation as its intermediate representation, and all the inference algorithms are applied to it. McFor does not use SSA form because SSA form is not suitable for representing indexed array computations, is inefficient for type inference, and reduces the program's readability (described in Section 4.3.1). Instead, McFor

uses an IR that consists of the abstract syntax tree (AST) and type conflict functions (described in Section 4.3).

In McFor's approach, a type conflict functions represents multiple definitions of a variable that reach a control flow joint point; it thus explicitly captures potential type changes on this variable. This approach also avoids unnecessary renaming and generating temporary variables, hence reduces the effort to trace those variables and rename back to their original names.

Inlining M-files FALCON inlines all script M-files and user-defined functions into one big function, which may contain multiple copies of the same code segments. McFor only inlines script M-files, and compiles each user-defined function into a separate Fortran subroutine.

FALCON's approach simplifies the type inference process because it only processes one function; and avoids the complexity of using Fortran to simulate passing dynamically allocated arrays between functions. But this approach destroys the program structure, hence makes the generated code less readable and reusable. Furthermore, inlining user-defined functions requires an extra renaming process, thus it adds more differences to the inlined code and further reduces its readability. Because MATLAB uses pass-by-value convention when passing arguments to a function, the changes made on input parameters are hidden inside the function and only output parameters are visible to the caller. Therefore, extra analysis and renaming processes are needed when inlining function M-files.

McFor, on the other hand, keeps the user-defined functions and performs inter-procedural type inference on the program. It creates a database to store type inference results of all functions along with their function type signatures extracted from calling contexts.

Code Generation Since FALCON inlines all the user-defined functions, the Fortran code generated by FALCON has only one program body without any functions and subroutines.

On the contrary, Fortran code generated by McFor has the same program structure as the original MATLAB program. McFor translates the user-defined functions of the MATLAB program into subroutines and preserve the same declarations as the original MATLAB functions. Therefore, the generated Fortran code is much closer to the original MATLAB program, thus has better readability and reusability.

Because there is no publicly-available version of the FALCON compiler, so we are not able to compare our results with it.

2.3   MATLAB Compilers

MathWorks distributes a commercial compiler, the most recent version called MATLAB Compiler®[1] [Inc08]. Its previous versions are usually referenced as MCC. This version of MATLAB Compiler generates executable applications and shared libraries, and uses a runtime engine, called the MATLAB Compiler Runtime (MCR), to perform all the computations. Our testing results show that the executable files that are generated by this compiler run slower than the interpreted execution of their original MATLAB programs. From our experiences, we think that the MCR actually is an instance of MATLAB interpreter that can be activated by the executable file and run in the background, and the executable file just hands over the MATLAB code to it and receives the outputs from it. Therefore, we exclude this compiler from the testing environments for our performance evaluations, and use the ordinary MATLAB execution engine. We were not able to find any published literature about the MATLB execution engine, but we assume it is based on an interpreter, perhaps with some Just-In-Time (JIT) compilations.

MATCOM [Ltd99] is another commercial MATLAB compiler, distributed by MathTools. MATCOM translates MATLAB code into C++ code and builds a comprehensive C++ mathematical library for matrix computations. It generates either standalone C++ applications or dynamically loadable object files (MEX files) that

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[1]MATLAB Compiler is a registered trademark of the MathWorks, Inc.

are used as external functions from the MATLAB interpreter. It incorporates with other integrated development environment (IDE), such as Visual C++, for editing and debugging, and uses gnuplot to draw 2D/3D graphics. It appears this product is no longer sold and supported.

MaJIC [AP02], a MATLAB Just-In-Time compiler, is patterned after FALCON. Its type inference engine uses the same techniques introduced by FALCON but is a simpler version in order to meet runtime speed requirements. It also performs speculative ahead-of-time compilation on user-defined functions before their calling contexts are available. It creates a code repository to store parsed/compiled code for each M-file and monitors the source files to deal with file changes asynchronously. Each compiled code is associated with a type signature used to match a given invocation. MaJIC's code generator uses the same data structure and code generation strategy as MATLAB compiler MCC version 2. The generated C code calls the MATLAB C libraries to perform all the computations and runtime type checking. In its JIT, MaJIC uses vcode [Eng96] as its JIT code emitter.

MENHIR [MHK$^+$00] is a retargetable MATLAB compiler. It generates C or Fortran code based on the target system description (MTSD), which describes the target system's properties and implementation details such as how to implement the matrix data structures and built-in functions. MTSD allows MENHIR compiler to generate efficient code that exploits optimized sequential and parallel libraries. This is mostly a research effort focusing on retargetablility and there is no publicly available version.

The MATCH [HNK$^+$00] compiler, developed at Northwestern University, is a library based compiler targeting heterogeneous platform consisting of field-programmable gate arrays (FPGAs) and digital signal processors (DSPs). The MATCH compiler parallelizes the MATLAB program based on the directives provided by the user, and compiles the MATLAB code into C code with calls to different runtime libraries.

MAGICA [JB02] is a type inference engine developed by Joisha and Banerjee of Northwestern University. It is written in Mathematica[2], and is designed as an add-on module used by MAT2C compiler [Joi03a] for determining the intrinsic type

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[2]Mathematica is a trade mark of Wolfram Research Inc.

and array shapes of expressions in a MATLAB program. Its approach is based on the theory of lattices for inferring the intrinsic types [JB01]. MAGICA uses special expressions called shape-tuple expressions to represent the shape semantics of each MATLAB operator and symbolically evaluate shape computations at compile time [JB03, Joi03b].

2.4 Parallel MATLAB Compilers

The Otter [QMSZ98] compiler, developed by Quinn *et al.* of Oregon State University, translates MATLAB scripts to C programs with calls to the standard MPI message-passing library. The compiler detects data parallelism inherent in vector and matrix operations and generates codes that are suitable for executing on parallel computers.

Ramaswamy *et al.* [RHB96] developed a compiler for converting a MATLAB programs into a parallel program based on ScaLAPACK [CDD+95] parallel library to exploit both task and data parallelism.

The MultiMATLAB [MT97] project extends the MATLAB to distributed memory multiprocessors computing environment by adding parallel extensions to the program and providing message passing routines between multiple MATLAB processes.

The McFor compiler does not target parallelization.

2.5 Vectorization of MATLAB

Vectorization is an alternative to compilation. Vectorization uses the same idea as loop parallelization: if many loop iterations can be done independently, a vector of the operands can be supplied to a vector operation instead [BLA07].

Menon and Pingali of Cornell University [QMSZ98] observed that translating loop-based numerical codes into matrix operations can eliminate the interpretive overhead leading to performance similar to compiled code. They built a mathematical framework to detect element-wise matrix computations and matrix productions in loop-based code and replace them by equivalent high-level matrix operations. Their results showed significant performance gains even when the code is interpreted.

Birkbeck *et al.* [BLA07] proposed a dimension abstraction approach with extensible loop pattern database for vectorizing MATLAB programs. They used an extension of data dependence analysis algorithm for correctly vectorizing accumulator variables designed by Allen and Kennedy [AK87, AK02]. Van Beuskum [vB05] created his vectorizer for Octave [Eat02] using a different algorithm, which vectorizes for-loops from innermost loop.

2.6 Other MATLAB Projects

Octave [Eat02] is a free MATLAB compatible numeric computing system. The Octave language is mostly compatible with MATLAB, and extends with new features used by modern programming languages. Octave is written in C++ and uses C++ polymorphism for handling type checking and type dispatching. Its underlying numerical libraries are C++ classes that wrap the routines of BLAS (Basic Linear Algebra Subprograms), LAPACK (Linear Algebra Package) [ABB+99] and other Fortran packages. Octave uses gunplot for plotting the results. Since Octave is publicly available, we have compared the performance between McFor and Octave on a set of benchmarks.

Scilab [INR09] is another fully developed numeric computing environment, distributed as open source software by INRIA. However, Scilab language's syntax is not compatible with MATLAB. Scilab uses ATLAS as underlying numerical library and uses its own graphic drawing program.

After compiling the MATLAB to low-level language, the implementation of high-level operations becomes a dominant factor to the overall performance. McFarlin and Chauhan [MC07] of Indiana University developed an algorithm to select functions from a target library by utilizing the semantics of the operations as well as the platform-specific performance characteristics of the library. They applied the algorithm on Octave and tested several BLAS routines. Their results showed significant performance gains but also indicated that it is insufficient to select library routines purely based on their abstract properties and many other factors also needs to be considered.

2.7   Summary of McFor's Approach

McFor compiler extends FALCON's approach of translating MATLAB to Fortran 95 code to gain performance improvement. McFor focuses on precisely inferring the array shape to reduce runtime overhead of array reallocations and array bounds checking, and generating readable and reusable code for further improvement. Because Fortran 95 has the closest syntax and semantics of MATLAB, the McFor compiler breaks new ground and is able to produce both efficient and programmer friendly code.

Chapter 3

Overview of the McFor Compiler

The main challenge of the MATLAB-to-FORTRAN compiler is to perform inference on the input programs to determine each variable's type, shape, and value range, and transform them into compatible forms for easily and effectively generating FORTRAN code.

Our McFor compiler is built around following requirements:

1. The McFor compiler should support a subset of MATLAB features that are commonly used for scientific computations: including all the program control statements, operators, array indexing (linear indexing, index using logical array or integer array), array constructions and concatenations. A detailed list of supported features is provided in Appendix A.

2. The McFor compiler is designed to be used for translating existing MATLAB programs into Fortran code, so it assumes that the input MATLAB programs are syntactically and semantically correct. It also assumes that all user-defined functions are included in the input source file list. Based on those assumptions, the compiler can apply aggressive type inference rules to obtain better inference results, thus can generate more effective Fortran code.

In the following sections, we discuss each phase of the McFor compiler (Section 3.1) and the preparation process for the type inference (Section 3.2).

15

3.1   Structure of the Compiler

The McFor compiler is a part of the McLab project[1] and is being developed by members of the Sable research group[2]. McLab is a framework for creating language extensions to scientific languages (such as MATLAB) and building optimizing compilers for them. McFor is constructed in a conventional way with a series of different phases, as shown in Figure 3.1. The contributions of this thesis are predominately in the "Analyses and Transformations" and "Code Generator" phases. This section discusses the main issues and the overall strategy adopted for each phase of the compiler.

3.1.1   MATLAB-to-Natlab Translator

Because the MATLAB language is used for command scripts and as a programming language at the same time, the syntax of MATLAB is quite convoluted. Since in command script syntax, the character space is always used as a delimiter, MATLAB syntax is thus sensitive to spaces; however, in programming language syntax, spaces are ignored in most cases. As a result, some MATLAB language features cannot be handled by normal lexing and parsing techniques. Therefore, the McLab team defined a functionally equivalent subset of MATLAB, called Natlab, which eliminates most ambiguous MATLAB syntax. The MATLAB-to-Natlab translator is designed for translating MATLAB programs from MATLAB syntax to Natlab syntax.

3.1.2   Lexer and Parser

The Natlab lexer is specified by using MetaLexer [Cas09], an extensible modular lexical specification language developed by Andrew Casey of McLab team. The Natlab parser is generated by using JastAdd [EH07], an extensible attribute grammar framework developed by Ekman *et al.*. McFor uses the same lexer and parser to obtain the abstract syntax tree (AST) of the input program, then extends the AST with type inference functions and performs type inference and transformations on it.

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[1]http://www.sable.mcgill.ca/mclab
[2]http://www.sable.mcgill.ca

Figure 3.1 McFor Compiler Structure with Analyses and Transformations

3.1.3 Analyses and Transformations

The compiler performs type inference and transformations in this phase. It consists of two sub-phases: the preparation phase (described in Section 3.2) and the type inference process (described in Section 4.2).

In the preparation phase, the compiler first inlines the script M-files, then performs the simplification transformation for breaking down complex expressions to reduce the complexity of type inference. The compiler then performs structure-based flow analysis to build symbol tables and create type conflict functions, which are used for explicitly capturing potential type changes. While building the symbol tables, the compiler renames variables that are treated as different variables in case-sensitive MATLAB but as the same variable in case-insensitive Fortran.

The second phase is the type inference process, which is an iterative process that infers types of all variables until reaching a fixed point, where variables' types will not be changed any more. It is an interprocedural type inference: when reaching a function call, the compiler analyzes the called user-defined function, infers the types in the callee function, and returns back with the inference result. The compiler builds a database to store inference results for all inferred user-defined functions along with their function type signatures extracted from calling contexts. The compiler also transforms codes with special MATLAB features into their functional equivalent forms to ease the effort of code generation.

3.1.4 Code Generator

In this final phase, the compiler traverses the AST in lexicographic order, and generates Fortran code using the type information stored in the symbol table.

After a set of transformations, most of MATLAB code can be straightforwardly translated into Fortran code. In addition, the Code Generator generates extra variable declarations directly from the declaration nodes created during the type inference process. It also generates extra statements to gather command line arguments passing into the program. For user-defined functions, each of them will be translated into a Fortran subroutine, which is able to return multiple values as MATLAB function does.

Each Fortran subroutine has the same list of parameters as the original user-defined function.

Because MATLAB uses pass-by-value calling conversion and Fortran uses pass-by-reference conversion, the user-defined functions need special treatments. For each parameter that appears in the LHS of any assignments inside the function, the Code Generator creates an extra local variable to substitute the parameter, and adds an assignment statement to copy the value from the new parameter to the original variable. Therefore, the original parameter becomes a local variable, thus any modifications on this local copy will not be visible to the caller.

For dynamically-allocated array variables, the compiler also needs to add allocation and deallocation statements in the proper places. Allocatable arrays are those variables that need to be dynamically allocated at runtime. In the Fortran code, the function or subroutine that first uses an allocatable array variable will declare the variable and deallocate it at the end of the function or subroutine. Every user-defined subroutine that use an allocatable parameter can allocate or reallocate the variable freely, but never deallocated it.

The Code Generator is also in charge of choosing proper Fortran implementations for high-level operators based on the types of the operands. For example, the Fortran built-in routine MATMUL() employs the conventional $O(N^3)$ method of matrix multiplication, so it performs poorly for larger size matrices. Therefore, the Code Generator will translate the MATLAB matrix multiplication operator into MATMUL() routine only when the operands' sizes are smaller than 200-by-200; otherwise, it will translate the multiplication operator into dgemm() routine of BLAS library, which has been optimized for computing large size matrices.

3.2 Preparation Phase

MATLAB has relatively simple syntax, higher-level operators and functions, and no variable declarations; those features make MATLAB very easy to program. But behind the simple syntax is much complex semantics that relies on the actual types of the operands and variables. In order to reduce the complexity of type inference

and preserve the special behaviors of some MATLAB features, the McFor compiler goes through a preparation phase before starting the actual type inference. The preparation phase includes the following parts: inlining script M-files, simplification, renaming loop variables, building the symbol tables and renaming duplicated variables.

3.2.1 Inlining Script M-files

A MATLAB program consists of a list of files, called M-files, which include sequences of MATLAB commands and statements. There are two types of M-files: script and function M-files. A function M-file is a user-defined function that accepts input arguments and returns result values. It has a function declaration that defines the input and output parameters, and variables used inside the function are local to this function (e.g., Table 3.1 (b)). A script M-file (e.g., Table 3.1 (a)) does not have function declaration; it does not accept input arguments nor returns results. Calling a script is just like calling a function where the script filename is used as the function name. In contrast to the function M-files, variables used inside a script M-file are associated with the scope of the caller. Table 3.1 shows the difference between them. Table 3.1(a) shows a script M-file for computing the mean of each row of a two dimensional matrix A. After executing the script, variables in this script, m, n, i, and R, will be added into the caller's scope. Table 3.1(b) shows a function M-file using same code plus a function declaration. In this case, only the value of output parameter R will be return to the caller, other variables are invisible to the caller.

1		function R=rowmean(A)
2	`[m,n] = size(A)`	`[m,n] = size(A)`
3	`for i=1:m`	`for i=1:m`
4	`  R(i) = sum(A(i,:))/n`	`  R(i) = sum(A(i,:))/n`
5	`end`	`end`
	(a) script	(b) function

Table 3.1 Computing mean of a matrix by script and function

20

Functions and scripts are just two different ways of packaging MATLAB code. They are used equally in MATLAB; they can call each other at any time. MATLAB uses the same syntax for calling functions and script M-files.

A MATLAB program can consist of both script and function M-files. In order to preserve the script M-files behavior, our McFor compiler handles the script M-files using the following two rules:

1. If a script is the main entry of the program, then it will be converted into a function, where the filename is the function name and it has no input and output parameters.

2. If a script is called by other script or function, then this script will be inlined into the caller.

The McFor compiler inlines script M-files by traversing the AST of the whole-program. Because a script does not take any arguments nor return values, the statement of calling a user-defined script "`foo.m`" will be a standalone expression statement either in command syntax form: `foo`, or in function syntax form: `foo()`. Because expression statements are represented by a unique type node in the AST, script calls can thus be discovered while traversing the AST. During the traversal, when the compiler discovers a statement that is calling a user-defined script, it inlines that script M-file into the caller by replacing the calling statement node with a copy of that script's AST. The compiler then recursively traverses into that new merged AST to discover further script calls.

3.2.2   Distinguishing Function Calls from Variables

Because MATLAB has two syntax forms for calling functions: command and function syntax form, an identifier in a MATLAB program may represent a variable, or a call to a function or a script in command syntax depending on the program context. The calls to user-defined scripts have been handled during the inlining phase (described in Section 3.2.1). For those command syntax function calls that are associated with

21

arguments, the MATLAB-to-Natlab translator (described in Section 3.1.1) has converted them into function syntax. The remaining cases are function calls that have no input arguments. For example, a user-defined function M-file "`foo.m`" has function declaration: "function y=foo()", then its command syntax function call, `foo`, looks just like a variable, and may appear in following situations:

1. As a standalone statement: `foo`

2. In the right-hand side (RHS) of an assignment statement: `x=foo`

3. Used as an index of array variable `A`: `A(foo, n)`

4. Used as an argument of another function call: `Bar(foo, n)`

In MATLAB, whether an identifier represents a variable or a function call is decided at execution time. If an identifier first appears as the left-hand side (LHS) of an assignment statement, then it is a variable in the whole execution. For example, in the code segment shown in Table 3.2 (a), since statement S3 will be executed before S5 at runtime, the identifier "`foo`" will be treated as a variable. Otherwise if there exists an intrinsic function with the same name as the identifier, or an M-file with the same name in the searching path, then it is a function call; if no such function exists, then it is an undefined variable and will cause a runtime error. When a function call identifier later appears as a LHS of an assignment statement, then the identifier becomes a variable, and will stay variable in the rest of execution. For example, if we change the S3 and S5 as shown in Table 3.2(b), then identifier "`foo`" is a function call in S3, and changed to a variable in S5 in the second iteration.

McFor is a batch compiler, it does not have any runtime information about the program's execution order; therefore it does not support an identifier having two meanings in one program. The McFor's approach is: when an identifier ever appears as LHS of an assignment statement in the program, then the identifier is a variable. If a variable in the program has the same name as a user-defined function attached in the source file list, then McFor will raise a warning, asking user to clarify the use of that identifier. McFor assumes all user-defined functions and scripts are listed in

S1	for i = 1:n	for i = 1:n
S2	if (i==1)	if (i==1)
S3	foo = i+1	y = foo
S4	else	else
S5	y = foo	foo = y
S6	end	end
S7	end	end
	(a)	(b)

Table 3.2 Variables are determined at runtime

the input source file list; and for unsolved identifiers, it does not look for the same name M-files in searching path. For the two code segments shown in Table 3.2, if the input source file list contains "`foo.m`", then McFor will give a warning for both cases; if the input source file list does not contain "`foo.m`", then McFor will treat "`foo`" as a variable in Table 3.2 (a), and an undefined variable in Table 3.2 (b).

If an identifier has never appeared in the LHS of any assignment, then McFor treats it as the same way as MATLAB: if the identifier has the same name of an intrinsic function or a user-defined function, then it is a function call. Otherwise, it is an undefined variable.

When McFor determines an identifier is a function call, it will convert it into function syntax, e.g., "`foo`" will be converted into "`foo()`".

3.2.3 Simplification Transformation

Long and complicated expressions require a special treatment before entering the type inference and transformation phase. The simplification transformation is used for reducing the complexity of type inference and simplifying the process of code generation. This phase is designed for the following purposes.

Reducing the complexity of type inference

When performing type inference on a long expression, we need to gather type information from all its sub-expressions to derive the final conclusion. A long expression

23

with complicated structure generates more uncertain elements during the inference process than simple expressions, thus increases the complexity of making the final conclusion. By creating temporary variables to represent sub-expressions, we can use those variables and their symbol table entries to store the type information acquired from those sub-expressions. Therefore, the type inference is split into several relatively short and simple inference steps with limited complexity.

The need for further transformations

When translating a MATLAB program into Fortran, all the user-defined functions will be converted into Fortran subroutines, and some MATLAB built-in functions will also be translated into corresponding Fortran intrinsic subroutines. Because Fortran subroutine calls do not return any values, thus they cannot be used as expressions, like its original MATLAB functions calls, by any other expressions. When a MATLAB function call is used by another expression, this transformation requires temporary variables to retrieve values from the Fortran subroutine, then put them back into where they were used. Because those transformations create new temporary variables, they must be performed before the symbol table is generated. Therefore, during this simplification phase, we take out function call sub-expressions from long expressions and transform them into standalone assignment statements. As a result, the code generator can simply translate the assignment statement into subroutine call straightforwardly.

Because MATLAB uses the same syntax for function call and array access, and the simplification process can only recognize sub-expressions based on their structures, thus this process creates unneeded temporary variables and assignments for array access sub-expressions. For solving this problem, we design an aggregation phase (described in Section 5.5) to reverse unnecessary simplifications.

Simplify transformations for linear indexing

MATLAB supports linear indexing, where any element of a matrix can be referred with a single subscript. This property is widely used in MATLAB programs, espe-

cially for row vectors and column vectors, even if they are internally two dimensional matrices, but indexing by one subscript is very convenient and understandable. However, in Fortran, each reference to an element of a matrix must specify the indices for all its dimensions. Therefore, a certain amount of transformations on matrix indexing must be done when we are compiling MATLAB programs. To simplify those transformations, we create temporary variables, take out matrix access sub-expressions from long expressions and insert them into standalone assignment statements before applying the type inference and transformations.

The compiler creates a new temporary variable uniquely for every sub-expression extracted from a long expression, and inserts the new assignment statement for the temporary variable immediately above the statement that contains the long expression. Therefore, the new assignment statements do not change the flow dependence of the program.

This simplification process is a recursive process on each node of the AST. After traversing the AST once, all simplifications will have been completed.

Table 3.3 shows an example of the simplification transformation. Table 3.3 (a) shows a MATLAB assignment statement which has a complicated right hand side expression. Table 3.3 (b) shows the code in MATLAB syntax after a sequence of simplification transformations. Variable `tmp_4` is created for the function call sub-expression inside the original RHS expression. Variable `tmp_3` is created for simplifying the argument of the function call. Variable `tmp_1` and `tmp_2` are created for the two sub-expressions of that argument respectively. Another transformation has been performed on variable `tmp_2` at statement S2 where `tmp_2` represents a column vector and its RHS is a one dimensional array.

3.2.4 Renaming Loop-Variables

In MATLAB, a loop variable can be assigned to different values inside the loop but the changes only affect current iteration. When the next iteration starts, the loop variable will be assigned to the correct value as it has never been changed.

For example, in the code fragment shown in Table 3.4(a), statement S4 changes

25

`B = foo(ones(n, 1)*(1:n)) + C;`	`S1:  tmp_1 = ones(n, 1);`
	`S2:  tmp_2 (1, :)  = (1:n);`
	`S3:  tmp_3 = tmp_1 * tmp_2;`
	`S4:  tmp_4 = foo(tmp_3);`
	`S5:  B = tmp_4 + C;`

(a)	(b)

Table 3.3 Simplify long expression

the value of loop variable j. The change affects S5, but the for-loop still executes 10 iterations, where j is assigned to 1 to 10 at the beginning of each iteration.

S1	`sum = 0;`	`sum = 0;`
S2	`for j = 1 :   10`	`for j = 1 :   10`
S3		`  j1 = j;`
S4	`  j = 2 * j;`	`  j1 = 2 * j1;`
S5	`  sum = sum + j;`	`  sum = sum + j1;`
S6	`end`	`end`
	(a)	(b)

Table 3.4 Loop variable changes are kept inside the iteration

In order to preserve this behavior, the compiler renames the loop variable when it appears in LHS of an assignment inside the loop body. Table 3.4 (b) shows the transformation result of Table 3.4(a) code segment.

3.2.5 Building the Symbol Table

After inlining all the scripts and converting the main script into function, the program's AST becomes a list of sub-trees, one for each function. The compiler then traverses the whole AST and builds up the symbol table for each function. Every function has its own symbol table; a symbol table contains all variables which appeared on the left hand sides of assignment statements of that function. Each variable has a unique symbol table entry containing attribute fields to store the variable's type properties, including:

- Intrinsic type, which could be logical, integer, real, double precision or complex;

- Shape, which includes the number of dimensions and size of each dimension. A scalar variable has zero dimensions.

- Value range, which includes the minimum and maximum estimated values.

Because the MATLAB language is case-sensitive for variable names but Fortran is not, variables composed by same sequence of characters but in different cases are different variables in MATLAB but are treated as one variable in Fortran. In order to solve this problem, a variable, before being added into the symbol table, is checked to see if its case-insensitive version creates a duplicate. If a duplication is discovered, then the compiler renames the variable in the whole program and adds the renamed variable into the symbol table.

Chapter 4
Type Inference Mechanism

Type inference is the core of the McFor compiler. In this chapter, we present our type inference mechanism. The type inference principles are described in Section 4.1, followed by the type inference process (Section 4.2), the intermediate representation and type conflict functions (Section 4.3). How to solve the type changes between multiple assignments and type conflict functions is discussed in Section 4.4 and 4.5. For shape inference, the value propagation analysis is discuss in Section 4.6, and how to determine variable shape at runtime is discussed in Section 4.7. In Section 4.8, we describe some additional analyses that are required during the type inference process.

4.1 Type Inference Principles

A variable's type consists of three parts of information, intrinsic type, shape, and value range. In this section, we explain the principle of intrinsic type inference, and the shape inference.

4.1.1 Intrinsic Type Inference

Our approach of determining variables' intrinsic types follows a mathematical framework based on the theory of lattices proposed by Kaplan and Ullman [KU80]. Pramod

and Prithviraj also used this framework in their MATLAB type inference engine MAGICA [JB01, JB02].

The framework uses a lattice of types to form a type hierarchy, where types are ordered based on the value ranges they can represent. Figure 4.1 shows the MATLAB intrinsic type lattice used in our type inference. In the lattice, types lower down in the hierarchy are smaller (in term of the storage space they require) than types higher up in the hierarchy, and the values represented by a smaller type can also be represent by any larger type. Therefore, using a larger type to replace a smaller type will not compromise the program's correctness. For example, if we can choose the complex type as the intrinsic type of all variables when translating a MATLAB program into Fortran code, then the program can still yield the correct result. Because smaller types are less expensive in term of storage space and execution time, type inference aims to determine the smallest type for each variable that can represent the value range the variable contains.

Figure 4.1 The Intrinsic Type Lattice.(similar to the lattice used in [JB01])

In MATLAB, four intrinsic types, logical, char, double, and complex, are com-

monly used in mathematic computations. When translating to Fortran code, a variable will have one of the five Fortran intrinsic types: logical, character, integer, double precision, and complex. As the lattice shown in Figure 4.1, the complex type is at the top of the hierarchy, followed by double precision, integer, char, and the logical type is at the bottom.

Our type inference approach aims to infer the smallest type for a variable that can represent the value it contains. For example, if we estimate a variable only contains integer values throughout the whole program, then we will decide the variable has an integer type. When a variable is assigned to a value with a type different from its current type, then we will merge those two types based on the type hierarchy, and use the larger type to be the final type. (The details of the type merging process are described in the following sections.) This approach can reduce the need for creating new variables to hold the new type during the type changes.

4.1.2   Sources of Type Inference

The type inference extracts type information from four sources: program constants, operators, built-in functions, and function calling contexts.

Constants

Program constants provide precise information about intrinsic type, shape, and value; they provide the starting point of our type inference. For example, constant `10.5` is a double scalar with the value 10.5.

Operators

MATLAB has three kinds of operators: arithmetic, relational, and logical operators. Relational and logical operators always return the results of the logical type. But MATLAB arithmetic operators are highly overloaded; they can accept different types of operands and yield different types of results. Therefore, we need create a set of type functions for each operator on different type operands. For example, Table 4.1 shows the result types of type functions of operator "+" for different types of operands.

Type functions for operators "−", "∗", ".∗", "/", "./", "\", ".\" have the same result as operator "+"; and Table 4.2 shows the results of operator "^" and ".^", where the "−err−" means the operation it is illegal for operands with those types. Table 4.3 shows the results of operator ":". The "complex" shown in the tables means the real and imaginary parts of the complex value are double type.

These tables also show that MATLAB has implicit data-type conversions automatically promoting the logical and char type data into double precision type during the computations. When integer variables are involved in left and right division operators, the result will be promoted to double precision type to avoid data loss.

	logical	*char*	*double*	*complex*
logical	double	double	double	complex
char	double	double	double	complex
double	double	double	double	complex
complex	complex	complex	complex	complex

Table 4.1 The result of type functions for operator "+".

	logical	*char*	*double*	*complex*
logical	-err-	double	double	complex
char	double	double	double	complex
double	double	double	double	complex
complex	complex	complex	complex	complex

Table 4.2 The result of type functions for operators "^", ".^".

	logical	*char*	*double*	*complex*
logical	-err-	-err-	double	double
char	-err-	double	-err-	-err-
double	double	-err-	double	double
complex	double	-err-	double	double

Table 4.3 The result of type functions for operator ":".

MATLAB operators not only define the shape and size information for the result data, but provide constraints on the type and shape of operand variables. MATLAB operators have conformability requirements for matrix operands. For example, when two operands are matrices, operators "`*`", "`-`", and logical operators require the operands must have the same shape, and result value will have the same shape as well. For another group of operators "`*`", "`\`", and "`/`", if two operands are matrices, then they must be two-dimensional and the sizes of their inner dimensions must equal. For example, for statement `B*C`, if variable `B` has shape of n-by-m, and `C` has shape of p-by-q, then `m` must equal to `p` and the result will have the shape of n-by-q.

One example of using this kind of constraints is to determine vectors in linear indexing, like the expression `ones(n,1)*(1:n)` shown in Table 3.3 (a). The simplification phase generates temporary variable `tmp_2` to represent the expression `(1:n)`, which is a one-dimensional array. According to the conformability requirements of matrix multiplication, `tmp_2` must be a two-dimensional matrix where the size of the first dimension is 1. Therefore, we change the shape of `tmp_2` and adjust the subscripts of `tmp_2` in statement S2 into `tmp_2(1,:)=(1:n)`.

Built-in Functions

MATLAB's built-in functions are also highly overloaded; they accept a varying number of arguments with different types. But since the built-in functions are well defined by MATLAB, they can provide precise type information of return results for each combination of input parameters. Thus, the McFor compiler built up a database for the type inference process that contains all type signatures (described in 4.2.1) of each built-in function and their corresponding return types.

Calling Context

From each calling context, the compiler gathers the types of all arguments to form a function type signature (described in 4.2.1), and then uses it to determine which version of translated code should be executed. When there is no matched version of code, the compiler then uses those input parameter types to perform type inference

33

on the user-defined function.

4.1.3 Shape Inference

The shape of a variable is defined by the total number of dimensions, also called rank, and the size of each dimension, also called extent. In MATLAB programs, a variable's shape can dynamically change in three situations: when a new value with different shape is assigned to it (e.g., S1, S2 and S3 in Table 4.4(a)), when new elements are added to a location outside the bounds of the variable (e.g., S4 and S5 in Table 4.4(a)), or when rows and columns are deleted from the variable (e.g., S6 and S7 in Table 4.4(a)). Table 4.4 (a) is a MATLAB code segment that demonstrates three shape change cases. Table 4.4 (b) lists the shape of the variable A after each statement is executed.

S1	`A = 1`	`A is a scalar`
S2	`A = ones(2,3)`	`A is 2x3`
S3	`A = [A;[1,2,3]]`	`A is 3x3`
S4	`A(4,1) = 10`	`A is 4x3`
S5	`A(4,4:5)=[20,30]`	`A is 4x5`
S6	`A(:,3) = []`	`A is 4x4`
S7	`A(3:4,:) = []`	`A is 2x4`
	(a)	(b)

Table 4.4 Three ways to dynamically change a variable's shape

Because some MATLAB operators have different semantics depending on the shapes of the operands they are using, shape information is a decisive factor for determining the correct meaning of each operation. For example, a multiplication expression `A*B` could mean a matrix multiplication, a simple scalar multiplication, or a matrix and scalar multiplication depending on the shapes of variable `A` and `B`; and it needs to be translated into different Fortran code accordingly.

One major overhead in the interpretive exectution of MATLAB programs is array resizing. Because MATLAB does not have array declarations, even if the variable is initialized at the beginning of the program (e.g., S2 in Table 4.4(a)), its shape can still

be changed when the indexed array access is outside the array bounds (e.g., S4 and S5 in Table 4.4(a)). Therefore, a major goal of our shape inference on array variables is to determine the largest number of dimensions and the largest size of each dimension that are used on those variables in the program. By using those information, we can declare the array variables statically or dynamically allocate them once in the Fortran code; thus the overhead of array dynamically resizing, moving data around, and checking array bounds can be avoided.

4.2   Type Inference Process

The McFor compiler performs whole-program analysis on the input MATLAB program. Because a MATLAB program usually contains several user-defined functions, interprocedural analysis is necessary for determining the type information of a function's output parameters according to each calling context.

4.2.1   Function Type Signature

For a user-defined function with n input parameters, the list of those parameters' types along with the function name forms the *type signature* of this function, e.g, $foo\{T_1, T_2, \ldots, T_n\}$. Because of the dynamically-typed nature of MATLAB, function declarations do not define the types of input parameters, thus a function can be called by using different types of arguments. Different types of input parameters may cause different type inference and transformation results on the function, thus we need create separate copies of the function AST for different calling contexts. We use function type signatures to determine which version of the function code should be used for a giving calling context. The McFor compiler uses a database to store all the function type signatures, along with their corresponding AST root node pointers and list of output parameters' types.

4.2.2 Whole-Program Type Inference

The type inference process starts from the main function's AST[1], traverses every node of the main function from top to bottom. When encountering a call to a user-defined function, the compiler first gathers the type information of each argument to form a function type signature, then compares it with function type signatures stored in the database. If there is a matched function type signature, the compiler then uses the corresponding inference result, the list of its output parameters' types, and continues the type inference process. If there is no matched type signature, then the compiler will make a copy of the calling function's AST, and perform type inference on it with the new calling context. After finishing type inference on the calling function and collecting its output parameters' types, the compiler stores the function type signature and the inference result into database, then returns to the location where the function call happened, and continues on the remaining nodes. For nested function calls, the compiler performs type inference on those functions recursively to generate inference results for each call.

If a user-defined function is a recursive function, the compiler uses the following strategy. Because a recursive function must have a code segment that implements the base case of its recursive algorithm and includes assignments for all its return variables, the compiler can obtain the types of all return variables from there. Therefore, in the first inference iteration, the compile ignores recursive function calls and uses those types inferred from base case code segment as the temporary result. In the following inference iterations, the compiler will use this temporary result to infer the final result.

The type inference is performed with structure-based flow analysis on the AST of the program. For each function, the type inference process is an iterative process that infers the types of all variables until reaching a fixed point, where variables' types will not be changed any more.

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[1]The compiler assumes the first file in the input source file list is the main function of the program

4.2.3 The Type Inference Algorithm

In the type inference process, the compiler goes through the program in lexicographic order, analyzes each assignment statement, and takes following actions according to the type of statement.

- For an assignment statement whose RHS is a constant:

  - Return the intrinsic type and shape of the constant. e.g., 2.5 is a double scalar, [10, 5] is a one-dimensional integer array of size 2.

- For an assignment statement whose RHS is a variable:

  - If the variable is an array with subscripts, First determine the shape it represents (either a partial array or an element), then return the shape with the intrinsic type of the variable stored in the symbol table. For example, if A is integer array of size m-by-n, then A(1,:) is one-dimension integer array of size n.

  - Otherwise, return the intrinsic type and shape of that variable stored in the symbol table.

- For an assignment statement whose RHS is a computational expression,

  - Based on the operands' intrinsic types and shapes, recursively calculate the intrinsic type and shape of the expression according to operator result tables (Table 4.1, 4.2, and 4.3), then return the result.

- For an assignment statement whose RHS is a built-in function:

  - Return the result type according to built-in function database. For example, randn(m,n) returns a two-dimensional matrix of size m-by-n.

- For an assignment statement whose RHS is a user-defined function:

  - Form the function type signature from the calling context, and check it in the database,

* If there is a match, return the stored result types
* Otherwise,
    · If it is a recursive call, then ignore this statement.
    · Otherwise, perform type inference on the function, return the inference result.

- For an assignment statement whose RHS is alpha-function:

  – Perform analysis described in Section 4.5.1

- For an assignment statement whose RHS is beta-function:

  – Perform analysis described in Section 4.5.2

- For an assignment statement whose RHS is lambda-function:

  – Perform analysis described in Section 4.5.3

- Other non-assignment statement

  – If it is a user-defined function call, check the database
    * if there is a matched type signature, ignore
    * otherwise, perform type inference on the function
  – Otherwise, ignore.

4.3 Intermediate Representation and Type Conflict Functions

The goal of type inference is to determine each variable's type properties: intrinsic type, shape, and value range, which will be used by the compiler to generate the Fortran declarations and to perform optimizations.

MATLAB is a dynamically-typed language; variables can change their types at runtime. However, in Fortran, a variable can only be statically declared as one type.

Therefore, every time when a variable's type changes, we need to either treat the variable as a new variable, or change the variable's final type.

This section discusses the usefulness of static single-assignment (SSA) representation in type inference and introduces type conflict functions to capture type changes.

4.3.1 Disadvantages of SSA Form in Type Inference

In the McFor compiler, we did not use a SSA representation because a SSA representation cares about the values that variables contain, but type inference cares about the types of those variables.

A program is in SSA form if every variable only appears as the target of one assignment where a value is assigned to it, which implies for each use of a variable, there is only one definition. The SSA representation is designed for separating values from their locations by creating unique variables to represent each value. Thus, SSA representation is very effective for value-related optimizing transformations, such as constant propagation and value numbering. However, type inference aims to solve the type of each variable, where the type of the value is important, not the value itself. Also, while translating a program to SSA form, the translation process renames a variable every time a new value is assigned to the variable. When the value has the same type as the variable's, the renaming is unnecessary from type inference's point of view. In a normal program, a variable's type changes far less frequently than its value changes. Therefore, creating new variables for building an unique relation between a value and a variable does not make type inference efficient; on the contrary, the new created variables make the program even more unlike the original one, hence reduce the program's readability.

Moreover, translating array computation programs into SSA representation usually needs complex analysis. The SSA representation efficiently handles scalar variables and some array variables when they are used without subscripts for representing whole arrays during the assignment (e.g., `A=1`, means assigning `1` to all element of array `A`). But, when array variables are used with subscripts for representing partial arrays (e.g., `A(1,:)=1`, means assigning `1` to every element in the first row of ar-

ray `A`) or an element (e.g., `A(1,2)=1`), translating them into SSA form requires more complex analysis to create the unique value-variable relation. MATLAB programs are designed for matrix computations where array variables are commonly used with subscripts as targets of assignments; therefore, SSA form is not suitable for handling MATLAB programs.

4.3.2   Type Conflict Functions

In a MATLAB assignment, if the type of LHS variable is different from the type of the RHS expression, then we say that there is a *type conflict* caused by this assignment. Type conflicts imply potential variable type changes, so they can trigger merging two types, creating new variables, or other related transformations.

In MATLAB, every assignment can create a type conflict because any assignment statement can assign a value of any type to a variable regardless the variable's current type. Type conflicts can also happen at the control-flow join points. At a control-flow join point, if there are two or more assignments on the same variable joined together, then those assignments can create potential type conflicts between them. By analyzing all the type conflicts that can happen in the program, we can capture every type change on every variable. In order to explicitly represent type conflicts happened in the join points, we introduce type conflict functions.

In the first step of type inference, we perform a structure-based flow analysis to build up use-definition chains and insert type conflict functions. Type conflict functions are inserted at the each control-flow join point for each variable that has more than one definition. Those type conflict functions are in the form of $x = \phi(pt_1, pt_2, \ldots, pt_n)$, where the `x` is the variable name, the arguments $(pt_1, pt_2, \ldots, pt_n)$ are pointers pointing to the assignment statements of the variable `x` that reach this join point. Those type conflict functions are located at the same places as SSA form's phi-functions, but there are no variables renamed during this process.

There are three groups of type conflict functions according to the program structure they relate to, and each of them implies a different process during the type inference. The first group of type conflict functions, which we call alpha-functions,

are those created at end of conditional statements (e.g., S10 in Table 4.5 (b)). The second group of type conflict functions, called beta-functions, are those inserted at the top of loop statements (e.g., S3 in Table 4.5 (b)). The third group, called lambda-functions, are those created at end of loop statements (e.g., S13 in Table 4.5 (b)). Table 4.5 shows a MATLAB code segment (a), and its corresponding code with type conflict functions (b), and partial result during the type inference process (c).

S1	`x=0;`	`x=0;`	`x=0;`
S2	`for j = 1:5`	`for i=1:5`	`for i=1:5`
S3		`  x=beta(S1,S6,S8);`	`  x=beta(S1,S6,S8);`
S4	`  k=x;`	`  k=x;`	`  k=x;`
S5	`  if(i>2)`	`  if(i>2)`	`  if(i>2)`
S6	`    x = foo(i);`	`    x =foo(i);`	`    x = foo(i);`
S7	`  else`	`  else`	`    y = x;`
S8	`    x = bar(i);`	`    x = bar(i);`	`  else`
S9	`  end`	`  end`	`    x1 = bar(i);`
S10		`  x = alpha(S6,S8);`	`    y = x1;`
S11	`  y = x;`	`  y = x;`	`  end`
S12	`end`	`end`	`end`
S13		`x=lambda(S1,S6,S8)`	`x=lambda(S1,S6,S8)`
S14	`z=x;`	`z=x;`	`z=x;`
	(a)	(b)	(c)

Table 4.5 Type conflict function example

4.4 Solving Type Differences

Type inference is working on the IR and solving the type conflicts created by assignment statements and captured by type conflict functions. For example, Table 4.6(a) is the MATLAB code segment, and Table 4.6(b) is the IR used by type inference. Inside the IR, the type inference needs to solve two kinds of cases:

1. When the type of the RHS expression of an assignment is different from the type of LHS variable, e.g., statements at line 1 and line 4 in Table 4.6(b).

2. When a variable's multiple definitions reach a control-flow join point, which is represented by a type conflict function, e.g., statements at line 3 and line 6 in Table 4.6(b).

In either case, the compiler will first solve the difference between intrinsic types, then solve the difference between shapes.

			$1^{st} iteration$	$2^{nd} iteration$
1	sum=0;	sum=0;	integer	double
2	for i = 1:10	for i = 1:10		
3		sum=beta(S1,S4)		double
4	sum=sum+i/2;	sum=sum+i/2;	double	double
5	end	end		
6		sum=lambda(S1,S4)	double	double
	(a)	(b)	(c)	(d)

Table 4.6 Type inference example.

4.4.1 Merging Intrinsic Types

In the above two cases, the compiler will choose the largest intrinsic type among them, based on the type hierarchy described in Section 4.1.1, to be the final intrinsic type of the variable.

Let's take the process of inferring the type of variable sum in Table 4.6 as an example. In the first iteration of type inference process, the variable sum becomes an integer type variable at assignment of line 1. At line 3, the compiler postpones the inference on the beta function until the end of the loop because it has forward reference. At line 4, since the RHS expression has the double type, which is larger than the integer type, the sum thus becomes a double type variable. At the end of loop, the compiler processes the beta function of line 3 and lambda function of line 6, and chooses the larger type for sum from line 1 and line 4, which is also the double type. Then the compiler starts the second iteration of the type inference process. Since the type of sum is still inferred as the double type, the compiler concludes that sum is a double type variable.

After type inference, some assignment statements may be illegal in Fortran. For example, in MATLAB, variables of logical or char types can participate in any arithmetic computations, but they are forbidden in Fortran. Therefore, we designed an extra transformation phase (described in Chapter 5) to solve those problems after the type inference process.

4.4.2 Solving Differences Between Shapes

As described in Section 4.1.3, the shape of a variable can be changed in three situations, when a new value with different shape is assigned to it (e.g., S1, S2 and S3 in Table 4.4(a)), when new elements are added to an array outside the bounds of the variable (e.g., S4 and S5 in Table 4.4(a)), or when rows and columns are deleted from the variable (e.g., S6 and S7 in Table 4.4(a)). Before performing type inference, the compiler needs to transform the array concatenation case (e.g., S3 in Table 4.4 (a)) to indexed array assignment form, as described below.

Handle Array Concatenations

In MATLAB, one way of expanding an array is to concatenate new elements or blocks onto the array, where the new elements and blocks are compatible in size with the original array. Table 4.7 (a) shows an example that uses the array concatenation. The array variable `mag` is initialized to zero rows and two columns at statement S1. In assignment statement S6, `mag` concatenates with variable `newdata`, a two column vector, and the result is assigned back to `mag`. The array concatenation usually happens in a loop; in this example, `mag` will have 10 rows after the execution.

For the statements that are inside a loop, the current inference mechanism uses the loop variable to carry the number of iterations, and only infers the statements once. Because the variable `mag` doesn't associate with the loop variable `i`, it is difficult to be inferred directly by the current inference mechanism. Therefore, for an array concatenation expression, we applied an extra transformation to convert the concatenation to another form of expanding array, using array accesses (S6 in Table 4.7 (b)). Table 4.7 (b) shows the transformed code of Table 4.7 (a). By applying

43

value propagation analysis (described in Section 4.6) on transformed code, we can estimate the value range of variable `tmp_1`; thus determining the maximum size of array `mag`.

S1	`mag=zeros(0,2);`	`mag=zeros(0,2);`
S2		`tmp_1=0;`
S3	`for i=1:10`	`for i=1:10`
S4	`  newdata= [i, i+1];`	`  newdata = [i, i+1];`
S5		`  tmp_1 = tmp_1+1;`
S6	`  mag = [mag; newdata];`	`  mag(tmp_1,:)  = newdata;`
S7	`end`	`end`
	(a)	(b)

Table 4.7 Converting array concatenation.

Solving Conflicts Between Shapes

After transforming the array concatenation cases, the conflicts on shapes can be solved by using the following rules:

1. For an assignment statement whose LHS is a indexed array access: compare the values of index expressions and the size of array,

    - If the indices are outside the bounds of the array, then expand the array shape accordingly.

    - If the values of index expression and size of the array are not comparable, then add array bounds checking and array resizing code before it.

    - Otherwise, ignore.

2. For an assignment statement whose LHS is a array variable without subscripts and RHS is non-empty array, e.g., `A=zeros(3,4)`:

    - If the array variable has never been defined, then use the RHS shape as its shape.

- If the RHS shape is different to the LHS shape, then the compiler will create a new variable and rename the LHS variable and all its appearances in the rest of program, and add the renamed variable into the symbol table with the new shape.

- Otherwise, ignore.

3. For an assignment statement whose RHS is empty array:

- Then the compiler will create a new variable, transform the statement into another assignment that copies all the data from old variable to the new variable. For example, `A(3:4,:)=[]` will be transformed into `A1=A(1:2, :)`. The compiler will then rename the old variable and all its appearances in the rest of program, and add the renamed variable into the symbol table with the new shape. The new shape is the variable's shape minus the shape represented by LHS array or array access. For example, if array `A` is a 4-by-4 matrix, then `A1` will be a 2-by-4 matrix.

4. For an assignment statement whose RHS is alpha/beta/lambda functions:

- If there is no renaming happening at all the joined definitions, then use the largest shape as result shape.

- Otherwise, apply the solution described in Section 4.5.1, 4.5.2, and 4.5.3.

4.5 Type Inference on Type Conflict Functions

During the type inference, when two types cannot be merged together, (which is called an *unsolvable type conflict*), a new variable of the new type needs to be created. This section discusses how the type inference handles unsolvable type conflicts that are captured by type conflict functions.

4.5.1 Type Inference on Apha-functions

When the type conflict created by an alpha-function cannot be solved, the compiler will rename the variables with the new type, and make multiple copies of the following statements in the same code block and attach each of them into each branch indicated by the arguments of the alpha-function, and rename them accordingly. Table 4.8 shows an example of solving an alpha-function. Table 4.8 (a) shows a MATLAB code segment, and its corresponding code with type conflict functions is shown in (b), and the type inference result is shown in (c). In this case, we assume that assignment S3 and S5 assign different type values to x, and the alpha-function (S7 in Table 4.8 (b)) has an unsolvable type conflict. Therefore, statement S8 in Table 4.8 (a) is duplicated to statements S4 and S7 in Table 4.8 (c), and variable x of the else-block is renamed to x1.

S1	x=0;	x=0;	x=0;
S2	if(i>0)	if(i>0)	if(i>0)
S3	x = foo(i);	x = foo(i);	x =foo(i);
S4	else	else	y = x;
S5	x = bar(i);	x = bar(i);	else
S6	end	end	x1 = bar(i);
S7		x = alpha(S3,S5);	y = x1;
S8	y = x;	y = x;	end
	(a)	(b)	(c)

Table 4.8 A code segment with alpha-function

4.5.2 Type Inference on Beta-functions

The beta-functions are used to monitor the changes of variable types inside a loop and behave as assertions to prevent runtime errors.

The beta-functions are located at the top of the loop when there is a use of the variable before any new definitions, e.g., S3 in Table 4.5 (b). A beta-function will not be created if there are no uses of this definition inside the loop. For example, in

Table 4.5 (b), if there is no statement S4 `k=x;`, then beta-function at S3 will not be created. Table 4.9 (b) shows another example of this case.

	(a)	(b)	(c)
S1	`x=0;`	`x=0;`	`x=0;`
S2	`for i = 1:5`	`for i=1:5`	`for i=1:5`
S3	`  if(i>2)`	`  if(i>2)`	`  if(i>2)`
S4	`    x = foo(i);`	`    x = foo(i);`	`    x = foo(i);`
S5	`  else`	`  else`	`  y = x;`
S6	`    x = bar(i);`	`    x = bar(i);`	`  else`
S7	`  end`	`  end`	`    x1 = bar(i);`
S8		`  x = alpha(S6,S8);`	`  y = x1;`
S9	`  y = x;`	`  y = x;`	`  end`
S10	`end`	`end`	`end`
S11		`x=lambda(S1,S6,S8)`	`if(EqualType(x,x1)`
S12	`z=x;`	`z=x;`	`  z=x;`
S13			`else`
S14			`  z=x1;`
S15			`end`

Table 4.9 A code segment with lambda-function

One of beta-function's arguments is the variable's previous definition outside the loop, and others are forward definitions generated inside the loop. Because those forward definitions have not been inferred when compiler reaches the beta-function, the compiler will postpone analyzing the beta-function until it reaches the end of the loop.

For loops that run more than one iteration, an unsolvable type conflict at a beta-function means that a variable's previous definition and forward definition generate different types and they cannot be merged; as a result, the type of the variable changes in every iteration. We believe this will cause unpredictable complicated behaviors at runtime; thus, the compiler will report a compile-time error to alert user to this problem.

4.5.3 Type Inference on Lambda-functions

The lambda-functions are located at the end of the loop, e.g., statement S13 of Table 4.5 (b) and S11 of Table 4.9 (b). They are used to monitor the changes between the last definition before the loop and the new definitions happened inside the loop. If a beta-function is created (e.g., statement at S3 of in Table 4.5 (b)), then the corresponding lambda-function has the same arguments and the same behavior as the beta-function: either they will cause a compile-time error, or be ignored because type conflicts they had can be solved. If a beta-function is not created and the lambda-function causes an unsolvable type conflict, then the compiler will perform the following actions.

The compiler will create multiple versions of variables, and generate a conditional statement to handle each version of variable at runtime using a type checking function. Each conditional block will have a copy of the following statements, which will be renamed accordingly, and make multiple copies of the statements follow the lambda-function, each copy represents a version of the variable and will be renamed correspondingly.

Table 4.9 shows an example. Since the S8 in Table 4.9(b) creates a different version of `x`, the compiler thus duplicates the S12, and creates an if-else-statement (S11-S15 in Table 4.9(c)).The type checking function `EqualType (x,x1)` ensures the first copy will be executed only when the type of `x` at that time is as same as the type of `x1`. The function `EqualType (x,x1)` is created by compiler to determine whether two variables have the same type based on their intrinsic types and shapes.

4.6   Value Propagation Analysis

In MATLAB, indexed array assignments (e.g., `A(i)=RHS`) have different meanings according to their indices. If the indices point to a location outside the array bounds, then the array will be expanded automatically. For example, if array `A` is a 3-by-3 matrix, then assignment `A(4, 5)= 20` expands `A` to 4-by-5. In order to be able to compare the index expression and the size of array, the compiler uses a simple value

propagation analysis to estimate the value range of each variable and expression, and propagate them throughout the program.

The goal of this analysis is to estimate the largest size of each array variable, and find out which array accesses need array bounds checks. Because the goal is not to eliminate all the array bounds checks, we used a simple approach for this analysis: it only calculates the values of scalar variables that have one definition, and compares values that are constant expressions. A *constant expression* is an expression that contains only literals and variables which have one definition. We choose this simple approach because it is difficult to compare expressions that contain variables that have multiple definitions. Since our IR is not in SSA form, if a variable has multiple definitions in a program, then it requires extra analysis to determine which value the variable contains at a certain program point. For index expressions using variables that have more than one definition, we add explicit array bounds checking code before it to ensure the access is valid.

4.6.1 Calculate a Variable's Value

For a variable that has multiple definitions in the program, we use the variable's name as its value. Therefore, when an expression uses those variables, we can easily find out it is not a constant expression.

For a variable that has only one definition, its value is calculated based on the RHS expression of its assignment. If the RHS expression is a literal, then the literal is its value. If the RHS expression contains variables, then we apply the values of those variables to the expression and use the result (a literal or an expression) as the value of the variable. If the RHS expression contains functions or the expression is too complicated to be calculated, then we use the variable's name as its value.

For a loop variable that is assigned to a range expression, we use the first-value and the last-value of the range expression as the minimum and maximum values of the loop variable respectively.

4.6.2 Compare Values

For each indexed array access, we calculate the value of each index expression and compare it with the size of the array. There are three possible results:

1. If the array has never been defined, then use the value of index expression as the size of the array.

2. If the two values are comparable, which means they are constant expressions and either they are literals or they both use the same set of variables, then we update the size of array by the larger value.

3. If the two values are not comparable, then we add array bounds checking code in front of this statement.

Table 4.10 shows an example of using this value propagation analysis to determine the size of array variable "A". Table 4.10 (a) shows the MATLAB code segment, and Figure 4.10 (b) shows the translated Fortran code. In this example, variable "n" has two definitions (S1 and S6), so its value is itself "n". In the for-loop, loop variable "i" has value range [1, n], and we can derive that "x" has value range [3, 1+2*n]; therefore, the largest size of array "A" at S4 should be "1+2*n". Because array "A" is first defined at S4, we set the array "A" to be size "1+2*n" and add an allocation code segment before it. At statement S7, the value of index expression is "n+1", but this value and the size of array are not constant expressions, because both of them contain variable "n", which has multiple definitions. Therefore, the two values are not comparable. We thus add array bounds checking code in front of S7. (The array bounds checking code will be explained in Section 4.7.2. Here we use a function, ArrayBoundsChecking(A, [n+1]), to represent it.)

4.6.3 Additional Rule for Array Bounds Checking

We have performed flow analysis at the first step of the type inference process, and inserted type conflict functions, each of which represents multiple definitions of a

	MATLAB	Fortran Code Segment	variable's value range
S1	`n=floor(11.5);`	`n=floor(10.5);`	`n=[n,n]`
S2	`for i=1:n`	`DO i = 1, n`	`i=[1,n]`
S3	`  x=1+2*i;`	`  x=(1+(2*i));`	`x=[3,1+2*n]`
		`  IF((.NOT.ALLOCATED(A)))THEN`	
		`    ALLOCATE(A((1+(2*n))));`	
		`  END IF`	
S4	`  A(x)=i;`	`  A(x)=i;`	`Size(A)=1+2*n`
S5	`end`	`END DO`	
S6	`n=fix(n/2);`	`n=fix(n/2);`	`n=[n,n]`
		`ArrayBoundsChecking(A,[n+1]);`	
S7	`A(n+1)=n;`	`A(n+1)=n;`	
	(a)	(b)	(c)

Table 4.10 Value-propagation analysis example 1.

variable at a control-flow join point. In this analysis, we use one of those functions, beta-functions, to discover unsafe array accesses. Because a beta-function (e.g., S2 in Table 4.11(b)) means that a variable's multiple definitions appear inside a loop, it implies that the value of the variable may change between iterations. Therefore, if an index expression uses that variable inside the same loop, then its value may also change between iterations, we thus need to check that index expression with the array bounds before using it.

Table 4.11 shows an example of this case. Table 4.11 (b) shows the IR after the compiler performed flow analysis and added type conflict functions. Table 4.11 (c) shows the Fortran code after the type inference process. In this example, variable x has two definitions S4 and S6, and is used as an index at statement S12. Because the array A is first defined at S12 and its size is x according to value propagation analysis, we add allocation code segment before S12 (shown in Table 4.11 (c)). At statement of S12, we also know from the beta-functions of S2 that there are multiple definitions of x inside the same loop. Therefore, we need add array bounds checking code in front of S12.

51

S1	`for i = 1:10`	`for i=1:10`	`DO i=1,5`
S2		`  x=beta(S4,S6)`	
S3	`  if(i<5)`	`  if(i<5)`	`  IF(i<5)THEN`
S4	`    x=i*2;`	`    x=i*2;`	`    x=i*2;`
S5	`  else`	`  else`	`  ELSE`
S6	`    x=(i+1);`	`    x=(i+1);`	`    x=(i+1);`
S7	`  end`	`  end`	`  END IF`
S8		`  x=alpha(S4,S6);`	`  IF((.NOT.ALLOCATED(A)))THEN`
S9			`    ALLOCATE(A(x))`
S10			`  END IF`
S11			`  ArrayBoundsChecking(A,[x]);`
S12	`  A(x)=i;`	`  A(x)=i;`	`  A(x)=i;`
S13	`end`	`end`	`END DO`
S14		`x=lambda(S4,S6)`	
	(a)	(b)	(c)

Table 4.11 Value-propagation analysis example 2

4.7 Determine the Shape at Runtime

In the shape inference, the shapes of variables cannot always be determined at compile time, the compiler thus needs to generate extra code to handle those cases at runtime. This section discusses the solutions for determining variable shapes, checking array bounds, and resizing the arrays at runtime.

4.7.1 Determine the Shape at Runtime

In MATLAB, there are four ways to create an array:

1. By using matrix construction expression, e.g., `A=[1,2,3,4; 5,6,7,8]` constructs a 2-by-4 matrix;

2. By using special functions: e.g., `B=randn(4,4)` forms a two-dimensional 4-by-4 matrix with random values; `D=repmat(A,2,3)` replicates matrix A twice vertically and three times horizontally, thus generates a 4-by-12 matrix;

```
1  B=rand(m,n)
2  C=rand(p,q)
3  A = B*C
4  x = A
```

Listing 4.1 Example of unpredictable array shape

3. By matrix operations: e.g., `C=[A;B]` vertically concatenates matrix `A` and `B` and results a 6-by-4 matrix; `C=A*B` creates a 2-by-4 matrix.

4. By using subscripts, e.g., `D(4,4)=10` create a 4-by-4 matrix.

The matrix operators and built-in functions that return matrix values have clear rules for determining the number of dimensions of the result matrices. Therefore, in all those cases, the compiler can determine the number of dimensions of the result matrices. However, if the size of an array is not constant but contains variables, then the meaning of the expression that uses that array may not be determined at compile time.

For example, in the code segment shown in Listing 4.1, the m-by-n matrix `B` and p-by-q matrix `C` are created at line 1 and 2. If the compiler cannot infer the precise values of variable `m`, `n`, `p`, and `q`, then the meaning of the express `B*C` and shape of `A` are also undeterminable at compile time. In order to solve this problem, the compiler generates extra code to handle all possible cases at runtime. Listing 4.2 shows the Fortran code segment to replace the statement at line 3 of Listing 4.1. Because the multiplication operation has two possible results, a scalar or a matrix, two variables `A` and `A1` are created to represent them respectively. Temporary variables `Bd1`, `Bd2`, `Cd1`, and `Cd2` are used for representing the size of each dimension of `B` and `C`. By comparing those size variables, we can determine the meaning of the multiplication operation. In the first if-else statement, the if-block is for the case that `B` and `C` are both scalars, and else-block contains three cases and the result matrix `A2` is dynamically allocated accordingly. Because the new code creates two possible results of `A`, the following statement, line 4 in Listing 4.1, is duplicated and moved into the two blocks, defined and renamed accordingly.

```fortran
1  INTEGER tmp_1, tmp_2, A, x
2  INTEGER, DIMENSION(:,:), ALLOCATABLE::A1, x1
3  INTEGER Bd1,Bd2,Cd1,Cd2
4
5  ! Bd1~Cd2 are temporary variables used
6  ! to store the size of each dimension of
7  ! B and C at runtime
8  Bd1=size(B,1); Bd2=size(B,2);
9  Cd1=size(C,1); Cd2=size(C,2);
10 ! Handle all possible meanings of A=B*C
11 ! <1>Result is a scalar
12 ! Case 1: all scalar
13 IF(Bd1==1 .AND. Bd2==1 .AND.
14   Cd1==1 .AND. Cd2==1) THEN
15   tmp_1=B(1,1)
16   tmp_2=C(1,1)
17   A=tmp_1*tmp_2
18   x = A
19
20 ELSE ! <2>Result is a matrix
21   ! Case 2: B is a scalar
22   IF(Bd1==1 .AND. Bd2==1) THEN
23     tmp_1=B(1,1)
24     IF(.NOT. ALLOCATED(A1)) THEN
25       ALLOCATE(A1(Cd1,Cd2))
26     END IF
27     A1=tmp_1*C
28
29   ! Case 3: C is a scalar
30   ELSE IF(Cd1==1 .AND. Cd2==1) THEN
31     IF(.NOT. ALLOCATED(A1)) THEN
32       ALLOCATE(A1(Bd1,Bd2))
33     END IF
34     tmp_1=C(1,1)
35     A1=B*tmp_1
36
37   ! Case 4: B,C are matrices
38   ELSE IF (Bd2==Cd1) THEN
39     IF(.NOT. ALLOCATED(A1)) THEN
40       ALLOCATE(A1(Bd1,Cd2))
41     END IF
42     A1=B*C
43   END IF
44   IF(.NOT. ALLOCATED(x1)) THEN
45     ALLOCATE(x1(size(A1,1),size(A1,2)))
46   END IF
47   x1 = A1
48 END IF
```

Listing 4.2 Fortran code for handling array shape at runtime

54

```
1   for i=1:10
2     if(i<5)
3       x=i*2;
4     else
5       x=i+1;
6     end
7     A(x)=i;
8   end
```

Listing 4.3 MATLAB code segment for array bounds checking and resizing

4.7.2 Checking Array Bounds and Resizing Array at Runtime

The goal of shape inference is to determine the maximum size of an array. We use value propagation analysis (described in Section 4.6) to estimate the maximum value of each index that appears in the indexed-array assignment. Listing 4.3 shows an example, the value of variable x changes during iterations, thus we need add array bounds checking code in front of indexed-array assignment at line 7. Listing 4.4 shows the Fortran code segment for array bounds checking and array resizing when it is necessary. In Listing 4.4, temporary variable `Ad1` stores the current size of the first dimension of array `A`, and extra array variable `A_tmp` is used for temporarily storing the old data of array `A` before it is resized. Before the indexed-array assignment at line 7, the index of the array access is checked with current array bounds. If the access is out of bounds, then the array is reallocated to the new size, and the old data are restored. Therefore, the indexed-array assignment is guaranteed to succeed.

4.8 Other Analyses in the Type Inference Process

This section discusses the analyses which happen during the type inference process. It includes discovering function calls, illegal identifiers, and the basic imaginary units of complex numbers.

```
1   INTEGER::i,x,
2   INTEGER Ad1, Ad1max
3   INTEGER, DIMENSION(:), ALLOCATABLE::A,A_tmp
4
5   DO i=1,10
6     IF(i<5)THEN
7       x=(i*2);
8     ELSE
9       x=(i+1);
10    END IF
11    ! First time allocation
12    IF(.NOT. ALLOCATED(A1)) THEN
13      ALLOCATE(A(x))
14      Ad1=size(A);
15    END IF
16
17    ! Array bounds checking
18    IF(x>Ad1) THEN
19      ! Using temporary array save old data
20      IF(ALLOCATED(A_tmp)) THEN
21        DEALLOCATE(A_tmp)
22      END IF
23      ALLOCATE(A_tmp(Ad1))
24      A_tmp = A
25
26      ! Resizing the array
27      IF(ALLOCATED(A)) THEN
28        DEALLOCATE(A)
29      END IF
30      Ad1max=x;
31      ALLOCATE(A(Ad1max))
32
33      ! Copy back the old data
34      A(1:Ad1) = A_tmp(1:Ad1)
35      Ad1=Ad1max;
36    END IF
37
38    A(x)=i;
39  END DO
```

Listing 4.4 Fortran code segment for array bounds checking and resizing

4.8.1   Discover Identifiers That are not Variables

After solving the script calls, an identifier appearing in the program could be a variable or a function call as discussed in Section 3.2.2. Whether an identifier is a variable or a function call is determined during the type inference process.

Before performing type inference, a symbol table has been created for each user-defined function; all variables, including input and output parameters, in the symbol table are initialized to have empty type. When the type inference reaches a user-defined function, the types of input parameters of that function will be updated based on the calling context.

Type inference traverses each node of a function's AST from top to bottom. For every statement, it gathers the use list of the statement, and checks each identifier which appears in the use list to determine whether it is a variable or not based on the following rules:

1. If the identifier has an entry in the symbol table and the type stored in that entry is not empty type, then the identifier is a variable.

2. If the identifier has an entry in the symbol table but the type stored in that entry is empty type, then there are two possibilities: if its name is included in the list of built-in functions and user-defined functions, then the compiler will treat it as a function call and raise an warning; otherwise, it is an uninitialized variable and the compiler will raise an error.

3. If the identifier has no entry in the symbol table, then there are two possibilities: if its name is included in the list of built-in functions and user-defined functions, then it is a function call; otherwise, it is an undefined variable and the compiler will raise an error.

4.8.2   Discover the Basic Imaginary Unit of Complex Numbers

MATLAB has a convenient way to declare complex constants. For example, a complex number with a real part of 2 and an imaginary part of 3 could be expressed as `2+3i`,

```
1  p = 2 + 3 * j;
2  for j=1:5
3    p = 2 + 3 * j;
4  end
```

Listing 4.5 The meaning of i, j depends on context

`2+3j`, `2+3*i`, or `2+3*j`. The letter `i` or `j` represents the basic imaginary units of complex numbers. The imaginary parts of the first two cases are unique and easy to identify; but in the last two cases, the meaning of those imaginary parts, `3*i`, `3*j`, depends on whether the `i` and `j` have been defined as variables or not. Listing 4.5 shows one example, where in the statement of line 1, `j` represents basic imaginary unit; and in the statement of line 3, `j` is an integer loop variable defined at line 2, and RHS of line 3 is an integer expression.

The McFor compiler supports these special uses of those two identifiers. If the compiler discovers identifier `i` or `j` is an undefined or uninitialized variable, then it will be treated as imaginary units; otherwise, they will be treated as variables.

Chapter 5
Transformations

Fortran is the closest conventional language to MATLAB because it uses the same array syntax and has similar semantics for most array operations. However, MATLAB has many special features that Fortran does not support. For example, MATLAB supports linear indexing and using arrays as indices; it has various ways of constructing arrays; and its operators can take operands of any type. We thus designed an extra transformation phase to transform those special statements into functional equivalent forms that can be straightforwardly translated into Fortran by the code generator. This chapter discusses transformations designed for those special features, which include transformations for array constructions (Section 5.1), linear indexing (Section 5.2), using arrays as indices (Section 5.3), and type conversion (Section 5.4). Generating readable Fortran code is another goal of the McFor compiler. The aggregation transformation is designed for this purpose; it is discussed in Section 5.5.

5.1   Transformations for Array Constructions

MATLAB has a very powerful operator `[]` for building arrays. This brackets operator is used as array constructor operator for creating a multi-dimensional array in one assignment, e.g., `A=[1,2,3,4; 5,6,7,8]` constructs a 2-by-4 matrix. It is also served

as concatenation operator to concatenate arrays either horizontally, e.g., `C=[A,B]`, or vertically, e.g., `C=[A;B]`.

Fortran uses the same operator as the array constructor operator, but it can only create one dimensional arrays. Therefore, we need to transform MATLAB array construction assignments that create multi-dimensional arrays into multiple Fortran assignments. Similar transformations are also needed for array concatenation statements. Table 5.1 shows several examples. Table 5.1(a) is the MATLAB code segment, Table 5.1(b) is the transformed code segment printed in MATLAB syntax. Every statement in transformed code segment is either a legal Fortran statement or can be straightforwardly translated into one Fortran statement.

In Table 5.1(a), the RHS of assignment S1 constructs a 2-by-3 array, so this assignment is transformed into two separate assignments (S1 and S2 in Table 5.1(b)), each assignment constructs one row. For the same reason, S3 is transformed into two assignments (S3 and S4 in Table 5.1(b)). Assignment S5 horizontally concatenates two 2-by-3 arrays, `M1` and `M2`, into a 2-by-6 array `N1`. It is transformed into two assignments, which perform concatenation of the first row and the second row separately. Assignment S7 vertically concatenates three 2-by-3 arrays, `M1`, `M2` and `M1` into a 6-by-3 array `N2`; hence, S7 is transformed into three assignments (S7, S8 and S9 in Table 5.1(b)), each of which saves one array to the proper locations of `N2`.

S1	`M1=[1,2,3; 4,5,6];`	`M1(1,:)=[1,2,3];`
S2		`M1(2,:)=[4,5,6];`
S3	`M2=[M1(2,:); M1(1,:)];`	`M2(1,:)=M1(2,:);`
S4		`M2(2,:)=M1(1,:);`
S5	`N1=[M1,M2];`	`N1(1,:)=[M1(1,:),M2(1,:)];`
S6		`N1(2,:)=[M1(2,:),M2(2,:)];`
S7	`N2=[M1;M2;M1];`	`N2(1:2,:)=M1;`
S8		`N2(3:4,:)=M2;`
S9		`N2(5:6,:)=M1;`
	(a)	(b)

Table 5.1 MATLAB array construction and concatenation expressions

5.2   Transformations for Linear Indexing

MATLAB supports linear indexing, where any element of an array can be referred with a single subscript. However, in Fortran, referencing an element of an array must specify subscripts of all its dimensions. Therefore, we need to transform all the linear indexing expressions into correct indexing forms.

Linear indexing is commonly used when indexing vectors. In MATLAB, both row vectors and column vectors are two-dimensional matrices (n-by-1 and 1-by-n matrices respectively), but they are usually indexed by one subscript in the program. Because vectors have one dimension whose size is equal to one, those linear indexing expressions can be straightforwardly transformed into a two-subscripts form. In Listing 5.1, the statement at line 6 shows a linear indexing example where `A` is a column vector and `B` is a row vector. The statement at line 6 in Listing 5.2 is the transformed code.

Another use of linear indexing is to represent a multi-dimensional array in linear form. This is done by using a colon operator as the single subscript, e.g., `A(:)`. For an m-by-n array `A`, `A(:)` represents a one dimensional array of size m*n, where elements in array `A` are listed in column-major order. This linear form is very convenient for applying calculations on all elements of the array, regardless of the actual structure the array has. For example, by passing `A(:)` into built-in function mean() or sum(), we can get the mean or sum of all elements of `A`.

A linear form array is also used for assigning a sequence of data to an array in one statement. Listing 5.1 shows two examples. In the assignment at line 8, a sequence of numbers is assigned to an m-by-n array `D`. The RHS of the assignment is a colon expression (a sequence of numbers from 1 to m*n), and the LHS is the linear form of an m-by-n array `D`. In the transformed code (shown in Listing 5.2 line 8-13), we first create a one dimensional array `tmp1` to store the sequence of number, then use a nested loop to index the array `D` in column-major order and assign the corresponding value from `tmp1` to `D`. Assignment at line 10 in Listing 5.1 is a similar example, where data of an n-by-m array `E` is assigned to an m-by-n array `D` in one statement. In the transformed code (Listing 5.2, line 14 - 23), we do the similar process: first create a one dimensional array `tmp2` to temporarily store the value of array `E` by a nested

```
1  A=zeros(m,1);
2  B=zeros(1,n);
3  D=zeros(m,n);
4  E=zeros(n,m);
5
6  A(3)=B(2)
7
8  D(:)=1:m*n
9
10 D(:)=E(:);
```

Listing 5.1 MATLAB code segment of linear indexing

```
1  A=zeros(m,1);
2  B=zeros(1,n);
3  D=zeros(m,n);
4  E=zeros(n,m);
5
6  A(3,1)=B(1,2)
7
8  tmp1=1:m*n
9  for j=1:n
10   for i=1:m
11     D(i,j)=tmp1(i+(j-1)*m);
12   end
13 end
14 for j=1:m
15   for i=1:n
16     tmp2(i+(j-1)*n)=E(i,j);
17   end
18 end
19 for j=1:n
20   for i=1:m
21     D(i,j)=tmp1(i+(j-1)*m);
22   end
23 end
```

Listing 5.2 Transformed code segment of linear indexing

loop, then assign those values back to D in correct order through another nested loop.

5.3 Transformations for Using Arrays as Indices

In MATLAB, logical arrays or integer arrays can be used as indices of another arrays.

If an integer array is used as an index, then the values of its elements are used as subscripts for the indexed array; therefore, the values of all its elements must be positive integers and must not exceed the size of the indexed array. For example, if `D` is `[1,3;2,4]` and `A` is another array, then `A(D)` represents `A(1)`, `A(2)`, `A(3)`, and `A(4)`, (elements of `D` are listed in linear indexing form). If `A` is a 2-by-3 array, then the value of any element in `D` should not bigger than 6.

Listing 5.3 and Listings 5.4 shows two examples. In Listing 5.3, at the LHS of assignment of line 5, integer array `D` is used as the index for array `R`. In the transformed code (Listing 5.4, lines 5-11), we create a nested loop to get the value of every element of `D`, and convert them into corresponding indices of array `R`, and perform the assignment on that element. At assignment of line 7 of Listing 5.3, the same indexed expression is used at the RHS, and the LHS is a linear form array `E`. For this assignment, we use the technique for transforming linear indexing. As shown in the transformed code (Listing 5.4, line 12-24), we first create a temporary array `tmp1` to save the value of `R(D)` by using a nested loop, then assign them back to `E` in correct order through another nested loop.

When a logical array is used as an index, it has a different meaning: the positions of the true values in the logical array will become the indices for the indexed array. For example, if logical array `B` is `[true, false; false, true]`, and array `A` has the same shape as `B`, then `A(B)` represents `A(1,1), A(2,2)`. Table 5.2 shows an example of using logical array as an index. In MATLAB code segment (Table 5.2(a)), variable `A` is an m-by-n array, and `B` is a logical array of the same shape. In the transformed code segment (Table 5.2(b)), assignment S3 of Table 5.2(a) is transformed into a nested loop that goes through every element of array `A` and `B` in column-major order. Inside the loop, an extra if-statement checks the element of `B` to see whether its value is true or false, and updates the element of `A` when that value is true.

```
1  R = zeros(n, m);
2  E = zeros(n, m);
3  D = ones(m, n);
4
5  R(D)=2;
6
7  E(:)=R(D);
```

Listing 5.3 Example of using arrays as indices

```
1  D = ones(m, n);
2
3  for j=1:n
4    for i=1:m
5      i1=mod((D(i,j)-1),m)+1;
6      j1=(D(i,j)+1)/m;
7      R(i1,j1)=2;
8    end
9  end
10
11 for j=1:n
12   for i=1:m
13     i1=mod((D(i,j)-1),m)+1;
14     j1=(D(i,j)+1)/m;
15     tmp1(i+(j-1)*m)= R(i1,j1);
16   end
17 end
18 for j=1:m
19   for i=1:n
20     E(i, j) = tmp1(i+(j-1)*n);
21   end
22 end
```

Listing 5.4 Transformed code segment of using arrays as indices

In MATLAB, the indexing logical array can have smaller shape than the indexed array. In this case, the positions of the logical array are counted linearly by column-major order and then applied on the indexed array in linear index form. For example, when logical array `B` is [true, false; false, true], array `A` is an 3-by-2 array, then `A(B)` represents `A(1)`, `A(4)`, which are equivalent to `A(1,1)`, `A(2,1)`.

In our transformation, we first create a one-dimensional logical array to temporarily store the logical array in linear form, then apply it on the indexed array through a

S1	`A=randn(m,n);`	`A=randn(m,n);`
S2	`B=A>0.5;`	`B=A>0.5;`
S3	`A(B)=0;`	`for j=1:n`
S4		`  for i=1:m`
S5		`    if(B(i,j))`
S6		`      A(i,j)=0;`
S7		`    end`
S8		`  end`
S9		`end`
	(a)	(b)

Table 5.2 Example of using a logical array as an index

nested loop. Table 5.3 shows another example. In Table 5.3, variable A is an m-by-n array and B is a p-by-q logical array. In the first nested-loop of the transformed code segment (Table 5.3(b)), we save the elements of B into a one dimensional array `tmp1`. In the second nested-loop, we map the position in A onto array `tmp1`, and update the element of A according to the corresponding value in `tmp1`.

5.4 Transformations for Type Conversions

5.4.1 Logical and Character Type in Computations

MATLAB's arithmetic operators can take operands of any types, those operands are automatically converted into compatible types during the computation. Fortran, on the other hand, has more strict rules for mixing different types of operands in a computation. Fortran's arithmetic operators do not take operands that are logical and character type, they only take operands that are numeric types, such as integer, real, double precision and complex type. When operands have different numeric types, implicit type conversion automatically promotes the smaller type to the larger type, which will also be the type of the computation result.

After the type inference process (described in Section 4.2), every variable will have been assigned a type; we thus can discover those logical and character type

65

S1	`A=randn(m,n);`	`A=randn(m,n);`
S2	`C=randn(p,q);`	`C=randn(p,q);`
S3	`B=C>0.5;`	`B=C>0.5;`
S4	`A(B)=0;`	`tmp2=p*q;`
S5		`for j=1:q`
S6		`  for i=1:p`
S7		`    tmp1(i+(j-1)*p)=B(i,j);`
S8		`  end`
S9		`end`
S10		`for j=1:n`
S11		`  for i=1:m`
S12		`    if((i+(j-1)*m<=tmp2)&&(tmp1(i+(j-1)*m)))`
S13		`      A(i,j)=0;`
S14		`    end`
S15		`  end`
S16		`end`
	(a)	(b)

Table 5.3 Example of using an integer array as an index

variables that are involved in computations. Our solution is to convert variables or values that have logical and character type into numeric type variables before they enter the computation. Table 5.4 shows one example. In the transformed Fortran code shown in Table 5.4(b), integer temporary variable `tmp1` is created for converting logical variable `b` into integer at S4 and replacing it for the addition operation at S5. The integer temporary variable `tmp2` is created for the same purpose for character variable `c`.

5.4.2   Fortran's Limited Type Conversions

Fortran's implicit type conversions can also happen when assigning one type of value to another type of variable, but those type conversions can only happen between logical and integer, integer and real, integer and double precision, integer and complex, real and complex types, or double precision and complex types. For example, if `n` is an integer variable and `b` is a logical variable, then an implicit type conversion

	(a)	(b)
		LOGICAL::b CHARACTER::c REAL::a,d INTEGER::tmp1, tmp2
S1	a=3.14;	a=3.14;
S2	b=a>0;	b=a>0;
S3	if(b)	if(b)
S4		tmp1=b;
S5	a=a+b;	a=a+tmp1;
S6	end	end
S7	c='A';	c='A';
S8		tmp2=ICHAR(c);
S9	d='A'+a;	d=tmp2+a;

Table 5.4 Converting logical and character type variables

happens on both assignments: `n=b` and `b=n`. (That is also the reason why we chose an integer temporary variable in the Table 5.4 example.) However, the character type cannot be automatically converted to any other types; there are some built-in functions designed for this purpose. In Table 5.4 example, we use function ICHAR() to convert character variable `c` to integer.

After type inference, some assignments may become illegal because of the Fortran type conversion rules. Table 5.5 shows one of those examples. In the MATLAB code segment shown in Table 5.5 (a), according to our type inference mechanism, array `b` is inferred as real type at S1, and will not change type at S3 since S3's RHS has logical type, which is smaller than real type. But since logical cannot be automatically converted to double precision type in Fortran, we thus need use an integer type variable `tmp1` as the bridge to complete this type conversion.

67

		DOUBLE PRECISION,DIMENSION(3,3)::B INTEGER,DIMENSION(3,3)::tmp1
S1 S2 S3	B(3,3)=1.5; B=B>0	B(3,3)=1.5; tmp1=B>0; B=tmp1;
	(a)	(b)

Table 5.5 Type conversion example 2

5.5 Aggregation Transformation

The simplification transformation, as described in Section 3.2.3, generates a considerable number of temporary variables to represent sub-expressions. Those temporary variables help to reduce the complexity of type inference and transformations, but cause the target code to be longer and less readable than the original code. Also, some of those temporary variables are unneeded, e.g., those for array access expressions, and some are only used to simplify the type inference and become useless afterward. Therefore, after type inference and transformation phase, we designed the aggregation transformation to merge most of those sub-expressions back into the place where they came from.

Because of the way those temporary variables been created, they are usually used in only one place, the original long expression. Except those temporary variables that have been transformed into subroutine calls with their assignments, the rest of temporary variables can be integrated back into their original expressions.

The compiler first scans the symbol tables, find out all temporary variables created by the simplification and their assignment statements. The compiler then replaces the use of those variables by the expressions they represent, and deletes those variables and their assignments. The merging process does not need extra flow analysis because it will not change the flow and data dependence of the program.

Listing 5.5 (a) shows an example. Statement at line 2 is the original MATLAB statement. Statements in line 5-8 are the result after simplification phase where

```
1   % Original MATLAB Statement
2   tempr=wr*data(j-1) - wi*data(j);
3
4   % After simplicatoin transformation
5   tmp_1 = (j - 1);
6   tmp_2 = data(1, tmp_1);
7   tmp_3 = data(1, j);
8   tempr = ((wr*tmp_2)-(wi*tmp_3));
9
10  % After aggregation transformation
11  tempr=(wr*data(1,(j-1)))-(wi*data(1,j));
```

Listing 5.5 Example of the Aggregation transformation

three temporary variables have been created. Statement at line 11 is the result after aggregation, where the long expression has been recovered.

Chapter 6
Performance Evaluation

In this chapter we present the experimental results of our McFor compiler. We translate a set of benchmarks to measure the effectiveness of the type inference and transformation techniques described in this thesis. The performance of compiled codes are compared with the execution times of their corresponding MATLAB codes in MATLAB, Octave, and McVM[1] [CBHV10].

6.1 Description of the Benchmarks

This set of benchmarks is acquired from a variety of sources, some of them are used by other MATLAB compiler projects, including FALCON [RP99, RP96], OTTER [QMSZ98], and MAT2C [Joi03a]. We have adjusted the problem size of each benchmark, (including the size of array and the number of iterations), in order to get a measurable execution time. A brief description of the benchmarks is presented below.

adpt is an implementation of finding the adaptive quadrature using Simpson's rule. The benchmark integrates the function $\int_6^{-1} f(x) = 13(x - x^2)e^{-1.5x}$ to a tolerance of 4×10^{-13}. This benchmark features an array whose size cannot be predicted by the compiler and expands dynamically during the computation. It is a benchmark from the FALCON project.

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[1]http://www.sable.mcgill.ca/mclab/mcvm_mcjit.html

capr is an implementation of computing the capacitance of a transmission line using finite difference and Gauss-Seidel method. It is a loop-based program that involves two small matrices and performs elementary scalar operations on them. It is from Chalmers University of Technology[2].

clos calculates the transitive closure of a directed graph. Its execution time is dominated by a matrix multiplication between two 450 x 450 matrices. It is a benchmark from the OTTER [QMSZ98] project.

crni is an implementation of the Crank-Nicholson solution to the heat equation. It is a loop-based program that performs elementary scalar operations on a two-dimensional matrix (2300 x 2300). It is a benchmark from the FALCON project.

dich is an implementation of the Dirichlet solution to Laplace's equation. It is a loop-based program that performs elementary scalar operations on a two-dimensional matrix (134 x 134). It is a benchmark from the FALCON project.

diff calculates the diffraction pattern of monochromatic light through a transmission grating for two slits. It is a loop-based program that performs calculations on scalar variables and saves the results into an array whose size is increased dynamically. It is from "The MathWorks' Central File Exchange". [3]

edit calculates the edit distance between two strings. Its major computation is a character comparison, which compares every character of one string with all the characters of another string, and saves the result into a two-dimensional array. It is from "The MathWorks' Central File Exchange".

fdtd is an implementation of applying the Finite Difference Time Domain (FDTD) technique on a hexahedral cavity with conducting walls. It is a loop-based program that performs computations on multiple elements between six three-dimensional arrays. It is from Chalmers University of Technology.

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[2]http://www.elmagn.chalmers.se/courses/CEM/
[3]http://www.mathworks.com/matlabcentral/fileexchange

fft computes the discrete Fourier transform for complex data. It is a loop-based program that performs elementary scalar operations on a one dimension array. This is our implementation of the algorithm from book "Numerical Recipes" [WPF07].

fiff is an implementation of finite-difference solution to the wave equation. It is a loop-based program, and performs elementary scalar operations on a two-dimensional array. It is a benchmark from the FALCON project.

mbrt computes mandelbrot set with specified number elements and number of iterations. It is a loop-based program that performs elementary scalar operations on complex type data. It is written by Anton Dubrau of McLab team.

nb1d is an implementation of N-body simulation using one-dimensional arrays. It is a loop-based program that involves various vector-vector computations. It is a benchmark of OTTER project.

nb3d is an implementation of N-body simulation using three-dimension arrays. It involves various matrix-matrix element-wise computations and several special cases of indexing by an array. It is a benchmark of OTTER project.

These benchmarks only use the features supported by McFor. Thus, although these benchmarks exercise many features of MATLAB, not all MATLAB programs can be compiled by McFor. A detailed list of McFor supported features is provided in Appendix A.

6.2 Performance Results

All experiments were performed on a Linux machine with 2.0GHz AMD Athlon(tm) 64 X 2 Dual Core Processor and 4GB RAM. It runs Ubuntu GNU/Linux 2.6.24-24 (x86_64). The software packages we used are MATLAB version 7.6.0.324 (R2008a), Octave version 3.0, McVM version 0.5, and GCC [Inc09] version 4.2.4.

Table 6.1 represents the average execution time of ten runs for all benchmarks using the four environments: MATLAB execution, Octave interpretation, McVM JIT, and compilation using McFor. The Fortran programs are compiled by using gfortran compiler of GCC version 4.2.4 with the optimization flag "O3".

Table 6.2 presents the speedups of Octave, McVM and McFor over the MATLAB. Figure 6.1 represents the speedup of McFor over the MATLAB.

The last row of those test results, named as "adpt-4k", is the execution time of the "adpt" benchmark with the result array being preallocated to size 4000-by-6, in which case no reallocation occurs during the execution.

6.3 Comparison of Compiled Fortran Code to MATLAB

Our experimental results show that for all benchmarks, the compiled Fortran codes have better performance than MATLAB, but the speedups are heavily dependent on the characteristics of each program.

The "edit" benchmark is the one that benefits the most from compilation. It has the largest speedup, over 102 time faster. The benchmark uses two one-dimensional arrays to store two strings, and compares them character by character. This result shows that Fortran is much more efficient for handling string and character operations than MATLAB.

The "nb1d" benchmark has the second largest speedup, over 22, which is more than twice the best speedup of remaining benchmarks. Most computations of this benchmark are element-wise vector-vector operations on vectors whose sizes are smaller than 100. Because Fortran has operators that internally support those vector operations, the generated Fortran code has almost identical computations as MATLAB code. The result shows that the Fortran's implementations of those vector operations are more efficient than MATLAB's.

Programs whose computations involve complex type data and access large two-dimension matrix or three-dimensional arrays, have a medium speedup (8 to 11). Those benchmarks includes: "capr", "diff", "fdtd", "fiff", "mbrt". These results show that Fortran has more efficient memory management for accessing larger size of

74

benchmark	MATLAB	Octave	McVM	McFor
adpt	4.947	45.597	15.410	2.803
capr	14.902	5432.700	2.756	1.800
clos	3.513	15.782	5.061	3.034
crni	12.977	5692.400	1580.500	3.182
dich	8.023	4084.200	1.821	2.469
diff	7.005	113.070	49.390	0.657
edit	19.482	377.210	80.344	0.189
fdtd	6.060	168.680	33.171	0.687
fft	27.596	8720.700	14.548	14.229
fiff	12.283	4847.800	5.691	1.418
mbrt	10.310	278.220	48.099	1.208
nb1d	11.436	40.240	6.003	0.509
nb3d	2.717	40.673	4.123	1.217
adpt-4k	3.282	25.404	15.145	1.043

Table 6.1 Benchmarks' execution times (in seconds).

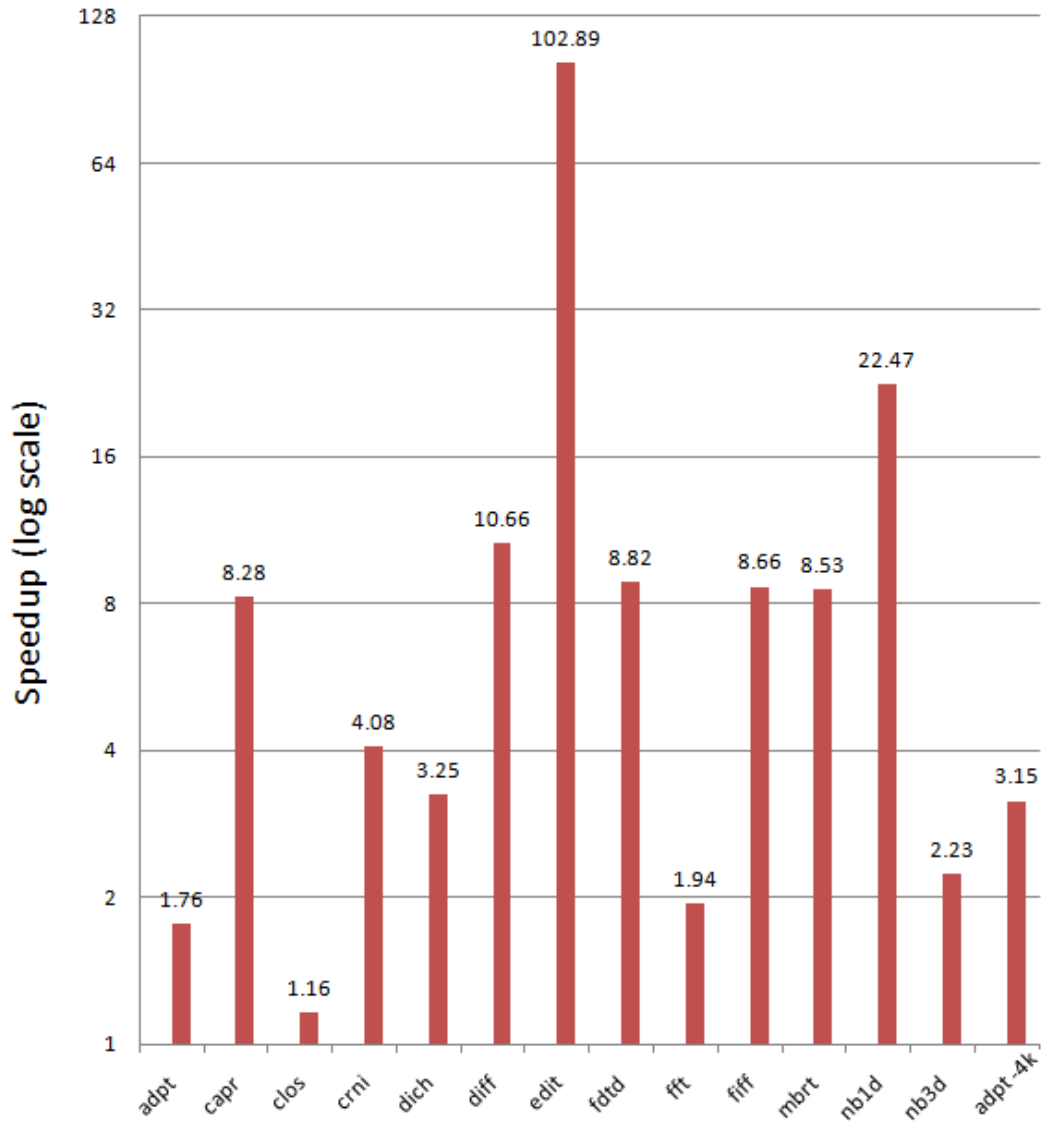| benchmark	Octave	McVM	McFor
adpt	0.109	0.32	1.76
capr	0.003	5.41	8.28
clos	0.223	0.69	1.16
crni	0.002	0.01	4.08
dich	0.002	4.40	3.25
diff	0.062	0.14	10.66
edit	0.052	0.24	102.89
fdtd	0.036	0.18	8.82
fft	0.003	1.90	1.94
fiff	0.003	2.16	8.66
mbrt	0.037	0.21	8.53
nb1d	0.284	1.91	22.47
nb3d	0.067	0.66	2.23
adpt-4k	0.129	0.22	3.15

Table 6.2 Speedup over MATLAB execution

Figure 6.1 Speedup of McFor over MATLAB

data structures than MATLAB.

Programs that have relatively simple data structures, e.g., one dimensional arrays or small two-dimensional arrays, and perform mostly double type scalar computations have a smaller, although still impressive, speedup (1.9 to 4.1). The "fft" benchmark has a very big one-dimensional array containing 8-million double values, but the benchmark uses those values sequentially and only performs scalar computations. Therefore, despite the fact that those computations run about 10^8 times, the Fortran code has a limited speedup of 1.94. The benchmark "nb3d" uses six small matrices, about 75-by-75 each, and it performs simple scalar computations and elementary-wise matrix-matrix computations on those arrays. Because those small arrays can be easily cached, the interpretive overheads on accessing them are limited. Thus, the Fortran code of benchmark "nb3d" has a small speedup of 2.23. The "dich" and "adpt-4k" benchmarks have similar computations and size of data: "dich" uses a 134-by-134 array and "adpt-4k" uses a 4000-by-6 array. The speedup of compiled Fortran code on both benchmarks are also similar, 3.25 and 3.15. The "crni" benchmark uses a larger two-dimensional array (2300-by-2300), and it has computations on partial arrays, which is more costly in term of accessing data. Therefore, the Fortran code has a better speedup of 4.08.

For programs performing matrix computations between large matrices, the compiled Fortran program has limited speedup over its interpretive execution. This is because Fortran program uses routines from BLAS and LAPACK libraries to perform matrix computations, and MATLAB matrix computations are also built on the top of BLAS and LAPACK and those libraries has been well integrated into MATLAB runtime environment.

The major computation of the "clos" benchmark is a matrix multiplication, which consumes over 97% of total execution time. In the generated Fortran code, we use the dgemm() routine of BLAS library to perform matrix computation. Because in this benchmark, the multiplication only executes sixty times, the interpretive overhead for function dispatching is relative small. Therefore, the compiled program has very limited speedup, 1.16.

We also test the performance of Fortran intrinsic function MATMUL(), which

is designed for matrix multiplication. The result shows that for benchmark "clos", Fortran code that uses MATMUL() is seven times slower than MATLAB interpretive execution. We tested MATMUL() on different sizes of arrays, the preliminary results indicate that MATMUL() performs better than MATLAB only when the sizes of matrices are smaller than 200-by-200.

The "adpt" benchmark is the program whose performance heavily relies on the dynamic memory allocations and data copying operations. This benchmark integrates the function $\int_6^{-1} f(x) = 13(x - x^2)e^{-1.5x}$ to a tolerance of 4×10^{-13}. It generates a result array of size 3270-by-6. This program preallocates the array to the size of 1-by-6 at the beginning, then expands it when the computation requires more space to store new results.

The inference mechanism cannot predict the final size of the array because it depends on the tolerance. During the value propagation analysis on array size (as described in 4.6), the compiler has discovered that several statements whose array indices are not comparable to the current size of array, and added array bounds checking code and array expanding code (as described in Section 4.7.2) in front of those statements to handle the potential array expanding.

The test of the "adpt" benchmark is conducted on total 10 iterations on the function where the result array is initialized as 1-by-6 then expanded to 3270-by-6. The execution results show that the Fortran code has about 1.76 speedup, which indicates that compiled Fortran code performs dynamic reallocations faster than MATLAB in this case.

The "adpt-4k" is the static version of "adpt". The "adpt-4k" is tested on the same code with different conditions, where the array is initialized to the size of 4000-by-6, thus there is no reallocation occurring in the execution. Comparing to same interpretive execution in MATLAB, the speedup of "adpt-4k" is 3.1 which is much higher that "adpt". The results also show that it has the speedup of 2.6 over Fortran version of "adpt". These results show that Fortran has notable overhead when performing dynamic reallocations, and comparing to MATLAB, Fortran is more efficient for handling large amounts of statically-allocated data.

6.4 Comparison of Dynamic Reallocation in Fortran and MATLAB

By applying type inference and transformation techniques, McFor is able to infer array variables' shapes and declare them statically in most benchmarks. Only one benchmark "adpt" requires dynamic reallocation code. In order to measure the cost of reallocations and the potential array expanding strategies, we conducted a set of experiments on the "adpt" benchmark .

6.4.1 Dynamic Reallocation Tests

In order to get the execution time for different numbers of allocations, we ran the program with different preallocation sizes, which are used for initializing the array before the reallocations. We conducted three sets of tests using three different tolerances.

In the first set of tests, we used a tolerance of 4×10^{-13} and the size of the result array is 3270-by-6. In the second set of tests, tolerance is 4×10^{-14} and the size of the result array is 5640-by-6. In the third set of tests, tolerance is 6×10^{-15} and the size of result array is 9490-by-6. During each test, we use different preallocation sizes until it is over the size of the result array. Figure 6.2, 6.3, and 6.4 shows the speedup of compiled Fortran code over MATLAB on those three sets of tests respectively.

From the Figure 6.2, 6.3, and 6.4, we observe that the speedup increases as the number of reallocations decreases, and the increase is greater when the number of reallocations is smaller than 1500. We also observe that the cost of reallocation also relates to the size of the array. As the arrays size increases, the speedup decreases. In the third set of tests (Figure 6.4), where the size of result array is 9490-by-6, compiled Fortran code even runs slower than MATLAB when number of reallocations is greater than 3300.

This result shows that Fortran has higher overhead than MATLAB when performing a large number of reallocations on large arrays.

But when the number of reallocations is small, the compiled Fortran code runs faster than MATLAB. The "diff" benchmark is another example. The "diff" bench-
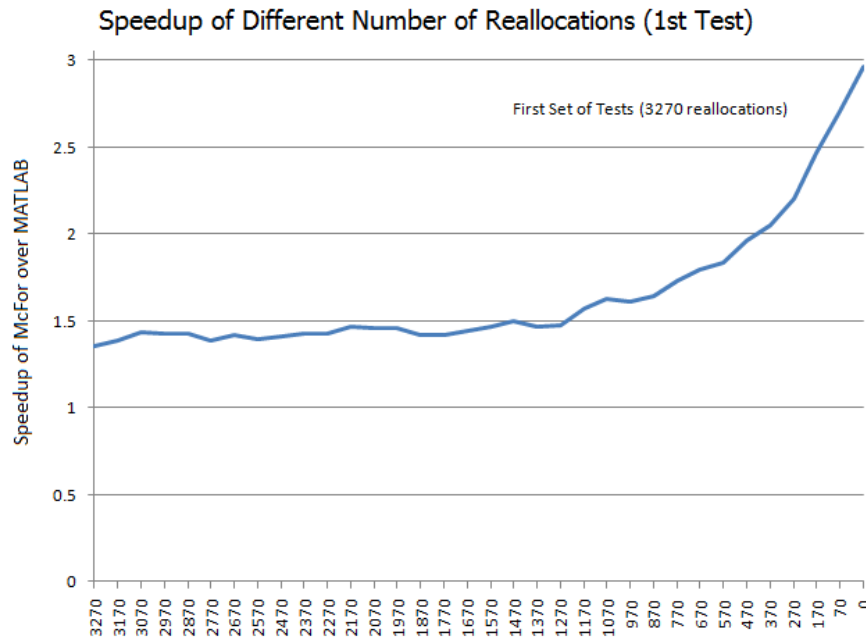
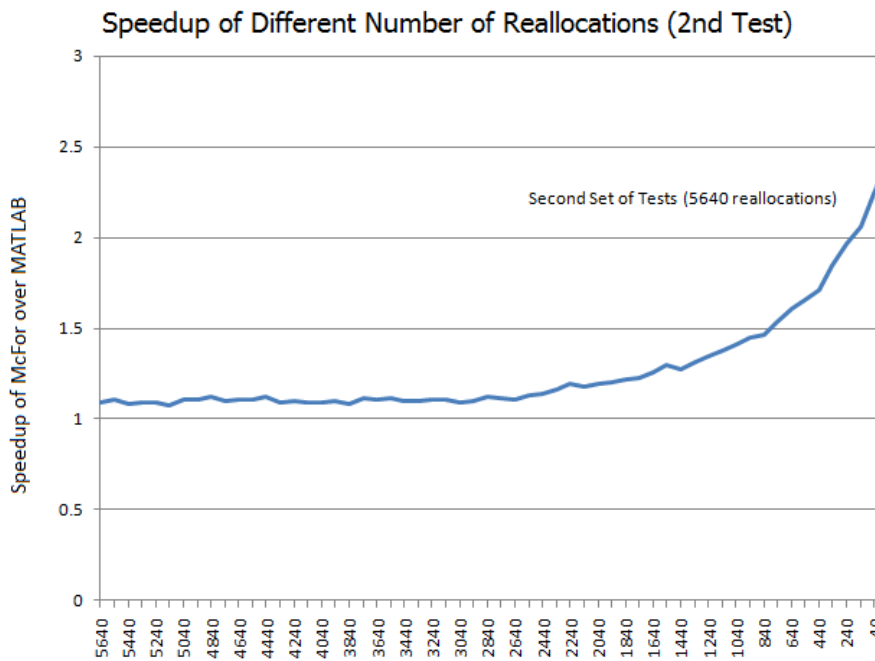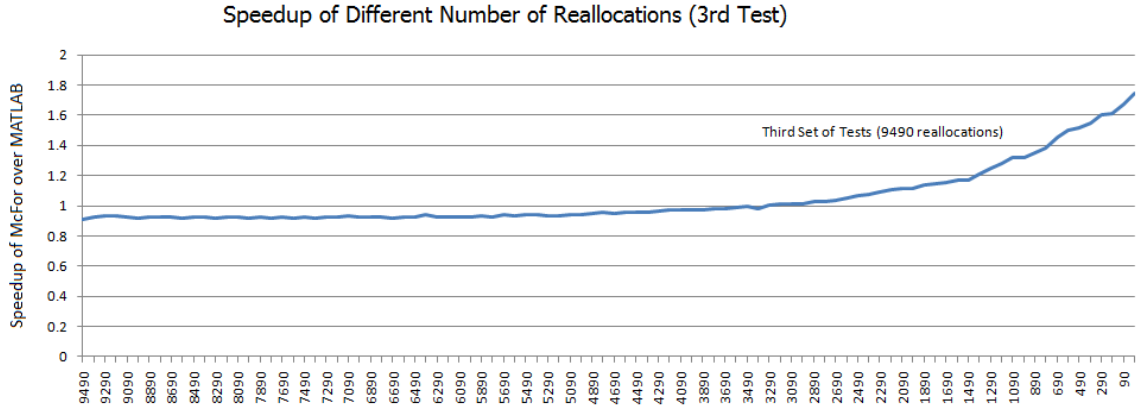**Figure 6.2** Speedup of McFor over MATLAB on different reallocation sizes (1)

Figure 6.3 Speedup of McFor over MATLAB on different reallocation sizes (2)

Figure 6.4 Speedup of McFor over MATLAB on different reallocation sizes (3)

mark contains an array concatenation operation, which expands an array one row at a time. By using transformation and inference technique described in Section 5.1, we can infer the final size of the array. We also generate another version of the program with reallocation code, where the total number of reallocations is 610. Its execution results show that the overhead of reallocations is about only 2.8%.

6.4.2 Different Reallocation Strategies Tests

Because the number of reallocations can be reduced by using different reallocation strategies, we conducted another set of tests to measure the effectiveness of different strategies.

The original reallocation code expands the array based on the required size, so when the program expands the array one row at a time, as the benchmark "adpt" does, this way of expanding is inefficient. Hence, we created a new strategy: the program expands the array by a factor every time. We conducted a set of tests on the "adpt" benchmark with tolerance of 6×10^{-15} using different expanding factors.

Figure 6.5 shows the number of reallocations of different expanding factors, where the number of reallocations drops rapidly because of the new strategy. Figure 6.6 shows the speedups over MATLAB on different expanding factors.

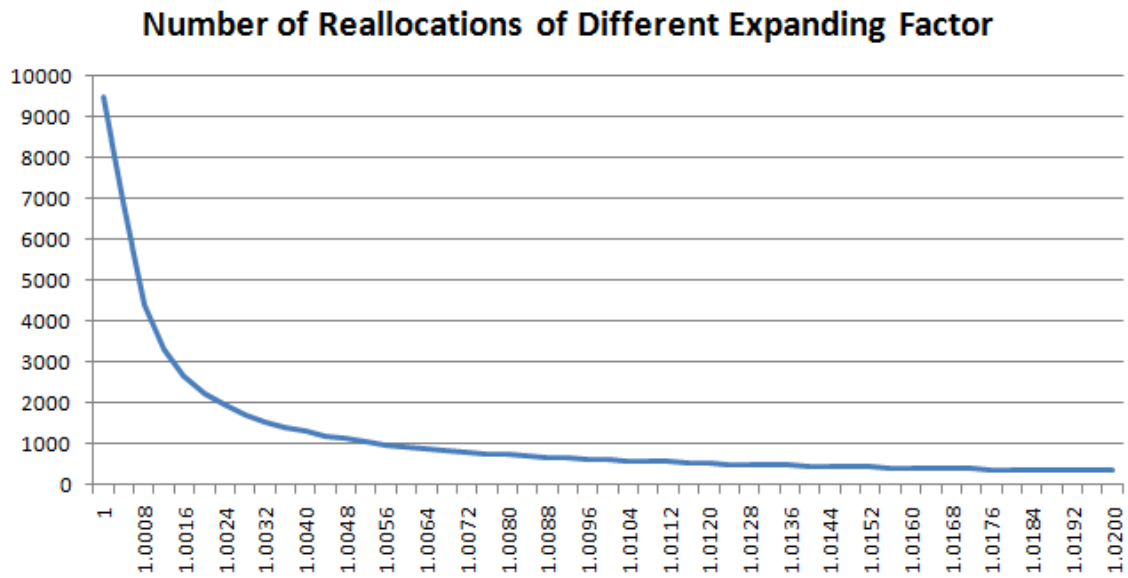The speedup curve shows that the compiled Fortran code runs as fast as MATLAB

81

Figure 6.5 Number of reallocations of different expanding factor
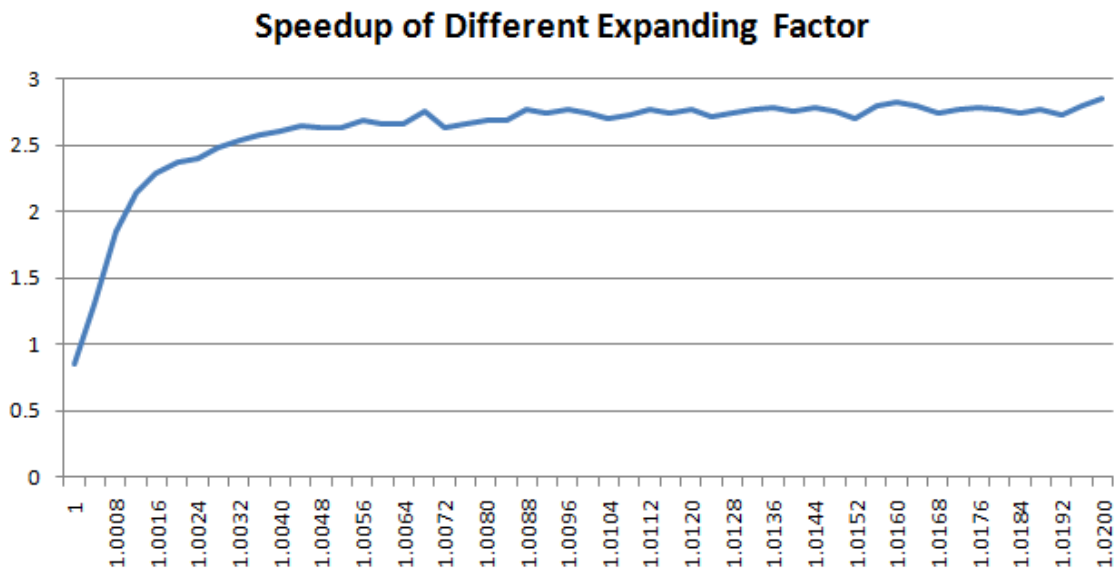

Figure 6.6 Speedup over MATLAB on different expanding factor

when the factor is about 1.0003 (with 8100 reallocations); and the speedup increases rapidly until the factor reaches 1.004, where corresponding number of reallocations is about 1000. Then zigzags appear in the speedup curve. There are two reasons for that. First, as the factor increases, the number of reallocations that is reduced by the factor becomes relatively small; therefore, there is only a small gain in performance. Second, some larger factors may compute sizes that are larger than what the result arrays should be, thus extra overheads are caused by allocating unnecessary spaces. The speedup curve approaches the line 2.94, which is the maximum speedup when there is no reallocation during the execution.

In conclusion, using expanding factor can effectively reduce the number of reallocations, but a larger factor may cause extra overhead for allocating extra array space. Also, using expanding factor could result the final array has larger size than it should be, so it should only be used on the program that is not sensitive about the final size of the array.

6.4.3 Overhead of Passing Dynamically Allocatable Arrays

In Fortran, there are two ways of passing an array to a function/subroutine, either specify the size of array by other arguments or just pass the array. When an array is passed into a function/subroutine alone, it needs to be declared as an allocatable array in the both caller and callee.

Fortran 95 has very good support for passing allocatable arrays. An allocatable array can be defined in a function/subroutine without mentioning its size, and be passed to other functions/subroutines, where the array can be allocated, updated, or de-allocated.

However, this convenient feature comes with overhead. According to our experiments on the "crni" benchmark, passing four arrays to function tridiagonal() without their size (line 1 of Listing 6.1) will have up to 5% overhead comparing to passing it with an extra argument, their size n (line 3 of Listing 6.1). During the execution of the benchmark, this function is called about 23000 times.

```
1  SUBROUTINE tridiagonal(A, D, C, B, X)
2
3  SUBROUTINE tridiagonal(A, D, C, B, n, X)
```

Listing 6.1 Passing array with or without its size.

6.5 Summary of Fortran 95 vs. MATLAB

Fortran 95 has less overhead on accessing large amount of data and complex data structures (e.g., three-dimensional arrays). Fortran 95 has large advantage on smaller size element-wise vector-vector computations and complex type data computations. Most basic built-in functions, e.g., `sin()`, `cos()`, `abs()`, `exp()`, run faster in compiled Fortran codes than in MATLAB.

Compiled Fortran code is very efficient when performing a small number of reallocations on small arrays. For programs with a large number of reallocations, using an expanding factor can effectively reduce the reallocation overhead. Therefore, MATLAB programs with dynamic allocations can obtain performance improvement by translating into Fortran code.

MATLAB performs matrix-matrix computations very efficiently. MATLAB has efficient loop control structures. In all benchmarks, there are no notable overhead accumulated by a large number of iterations. MATLAB has improved its performance on double type scalar computations and accessing one-dimensional array and small two-dimensional matrices. However, due to its interpretive overheads on type inference, array bounds checking, and memory accessing, MATLAB programs are still significantly slower than compiled Fortran codes.

6.6 Comparison of Compiled Fortran Code to Octave

Octave [Eat02] is a MATLAB compatible interpreter, which like McFor, is an open source project. The experimental results show that for all benchmarks, the compiled Fortran codes have better performance than interpretive execution in Octave. Speedups of some benchmarks are over 1000. Those benchmarks include "capr",

"crni", "dich", "edit", and "fiff". The major computations of those benchmarks are scalar computations but run in a loop with a large number of iterations. The results show that in Octave, the interpretive overhead accumulates heavily over the large number of iterations.

Comparing to other benchmarks, Octave runs the "clos" benchmark relatively efficiently. The speedup of compiled Fortran code over Octave is 5.2, the lowest of all benchmarks. Octave's numerical libraries also use BLAS to perform the matrix computation, but the BLAS routines are wrapped into C++ classes. Because the matrix computation in "clos" consumes over 97% of total execution time, this result shows that Octave's numerical libraries have very high overhead for performing the basic matrix computation.

6.7   Comparison of Compiled Fortran Code to McVM

The McVM virtual machine is a MATLAB just-in-time compiler developed by Maxime Chevalier-Boisvert of the McLab team [CB09]. It is also a component of the McLab project, and uses the same MATLAB-to-Natlab translator, Lexer and Parser as Mc-For does. McVM uses LLVM [LA04] as JIT engine to emit assembly code. We were able to use an alpha version (0.5) of McVM to get some initial results.

The experimental results shows that except one benchmark, "dich", compiled Fortran codes have better performance than McVM.

The speedup of McVM over compiled Fortran code on the "dich" benchmark is about 1.4. The explanation for this result is that, the benchmark program has been fully compiled by the McVM JIT compiler and the assembly code generated by LLVM JIT engine is more efficient than the executable code created by gfortran compiler.

The "dich" benchmark is a loop-based program that mostly performs scalar operations. The type and shape of two array variables can all be inferred by the compiler. Its major computations happen inside two nested for-loops, the numbers of iterations of both loops can be predicted at compile time. Because those scalar computations, for-loops, and array accesses are fully supported by the LLVM assembly instruction set, the LLVM JIT engine can generate efficient assembly code for that code segment.

Benchmarks that have similar computations also perform well in McVM. Those benchmarks include "capr", "fft", "fiff", and "nb1d". McVM out performs MATLAB on those benchmarks.

Because the LLVM assembly instruction set cannot directly represent complex data structures (such as three-dimensional arrays and the complex data type) and matrix computations, McVM performs less efficiently on other benchmarks.

Chapter 7
Conclusions and Future Work

7.1 Conclusions

The main goal of this thesis is to apply type inference techniques that have been developed for array program languages and build an open source MATLAB-to-Fortran 95 compiler to generate efficient Fortran code. Our inference process aims to infer variables' type and shape information as much as possible at compile time, so that the generated code can avoid runtime overhead on type checking and array reallocations.

We developed a type hierarchy and analysis to infer the intrinsic type of all variables. In order to maximally predict the shape of array variables at compile time, we developed special transformations to handle array concatenation operations, and a value propagation analysis to estimate the maximum size of arrays. For the remaining cases, where the size of array cannot be predicted at compile time, we generated code to dynamically check the size of array and reallocate the array when it is necessary. By using those techniques, only one of twelve benchmarks requires dynamic reallocation code.

Another goal of our work is to generate programmer-friendly code that is easy to understand, debug, and reuse. Fortran 95 is the best target language because Fortran 95 has the closest syntax and semantics, which makes translated code closer to the

original MATLAB program. To keep the program structure, we compiled every user-defined function independently, and preserved their function declarations in the target Fortran code. To avoid unnecessary renaming, we did not choose SSA form as our intermediate representation, but used an extended AST with type conflict functions that are used to explicitly capture potential type changes in the program.

The performance evaluation results show that the compiled programs perform better than their MATLAB executions, but the speedups varies, ranging from 1.16 to 102, depending on the characteristics of the program. We also observe that character operations are executed very slowly in MATLAB, Fortran code handles large multi-dimensional arrays very efficiently, MATLAB's built-in function for matrix multiplication on large arrays is faster than Fortran's intrinsic function, and a different reallocation strategy can improve the performance for programs with a larger number of reallocations.

7.2 Future Work

There are several possible further projects for McFor that can further improve the performance of generated Fortran code.

1. It would be useful to gather the structural information of matrices and use them for selecting appropriate Fortran implementations for matrix computations.

   The structure of a matrix decides whether the matrix is a square, sparse, triangular, symmetric, Hermitian, or another type of matrix. It is another important property the affects the matrix computations. For example, the appropriate algorithm for solving a matrix left division operation A\B is dependent on the structures of matrices A and B. Moreover, some MATLAB built-in functions are specifically designed for diagonal matrices and sparse matrices. Therefore, by using the structural information of matrices with their type and shape information, the code generator could select more appropriate library routines that can efficiently perform the computation.

2. With many new features introduced by the recent Fortran standards, we believe that most MATLAB features can be translated into efficient Fortran implementations.

   Fortran has developed from a simple procedural programming language to a modern programming language. Fortran 2003, the most recent standard, has extended Fortran to object-oriented and generic programming. Fortran 2003 introduces new features that can be used to implement many MATLAB special features. For example, Fortran 2003 supports Cray pointers, C-like pointers that can point to a function or subroutine, which can be used to simulate the function handles of MATLAB. Fortran 2003 supports "submodules", which are similar to the MATLAB's embedded functions. Fortran 2003 also supports parameterized derived type and allows allocatable arrays and pointes as components, which can be used for implementing MATLAB's cell array and structure.

3. Parallelization

   Exploiting parallelism can further improve the performance of the generated code. After inferring shapes of array variables, many data-dependent analysis and parallelization optimizations can be added to the compiler. Another possible approach is to integrate McFor with other Fortran parallel compilers to generate parallel programs. One way of the integration is to generate the parallelization directives, such as OpenMP Fortran API directives, for a target parallel compiler by utilizing the information gathered by the inference process. Another way is to provide direct information using internal data to parallel compilers to facilitate the work of their parallel optimizers.

4. Improving Readability

   There are several improvements can be done to elevate the readability of the target code.

   (a) Remove temporary variables.

       There are some temporary variables generated by the simplification transformation and type inference process that could not be removed by

89

the current aggregation transformation. The aggregation transformation is designed to reverse the simplification transformation based on the flow analysis. However, some temporary variables cannot be merged back to their original places because of restrictions of Fortran language. For example, the array construction expression (e.g. `data=[a,b]`), requires all elements (`a,b`) to have the same type. The following is a Fortran code segment with temporary variables. If merging two temporary variables to the third statement, the result statement, `output=[2.2, 1]`, becomes illegal in Fortran.

```
DOUBLE PRECISION::  tmpvar3, tmpvar4;

tmpvar3=2.2; tmpvar4=1; output=[tmpvar3, tmpvar4];
```

It is possible to enhance the aggregation transformation to solve those special cases.

(b) Restore the original names of renamed variables

Some variables were renamed for special purposes during the process of building the symbol table, and their original names could be restored back afterwards. For instance, for simplifying the value-propagation process, some loop variables are renamed in order to keep a unique definition. Those renamings could be reversed after type inference and transformation phase.

(c) Restore and add comments

Comments in the MATLAB programs are reserved during the lexing and parsing. However, there are a number of cases where a comment cannot be restored to the exactly location as in the original code.

Some Fortran code segments that are generated by some transformations become very different from the original MATLAB statements. Listing the original MATLAB statements as comments beside the transformed code would be helpful for programmers to understand the new code.

Appendix A
Supported MATLAB Features

The McFor compiler supports a subset of MATLAB features that are commonly used for scientific computation. The following is a list of all MATLAB features the supported by McFor compiler.

Program control statements :

- Conditional control statements: if-elseif-else-end, switch-case-otherwise-end.

- Loop control statements: for, while, continue, break.

- Program Termination: return.

Operators :

- Arithmetic Operators: "+", "-", "*", "/", "\", "^", ".*", "./", ".\", ".^", ".'", ",", ":",

- Relational Operators: "<", "<=", ">", ">=", "==", " =",

- Logical Operators: "&", "|", " ", "xor", "&&", "||",

Data Type: `logical`, `integer`, `single`, `double`, `complex`, `character`, `string`.

Matrix Construction: e.g., `row=[E1 E2 ...Em]; A=[row1; row2; ...; rown]`. (discussed in Section 5.1)

Matrix Concatenation: e.g., `C=[A,B]; C=[A;B].` (discussed in Section 5.1)

Matrix Indexing :

- Linear Indexing: discussed in Section 5.2
- Using an Array as an Index: including using logical array as index: discussed in Section 5.3
- Accessing Multiple Elements
  - Consecutive Elements: `A(1:6, 2); A(1:6, 2:3).`
  - Nonconsecutive Elements: `B(1:3:16)=-10`
  - Specifying All Elements of a Row or Column: `A(:)`, `A(:,2)`, `A(2,:)`

Empty Matrices : e.g., `A(:,3)=[]; A(3:4,:)=[];`

Resizing Matrices :

Three situations that a variable's shape can dynamically change : when a new value with different shape is assigned to it, when new elements are added to a location outside the bounds of the variable, or when rows and columns are deleted from the variable. They are discussed in Section 4.4.2 and Section 4.1.3

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94

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